

# EXHIBIT B

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
FORT WORTH DIVISION**

<b>IN RE:</b>  <b>LIFE PARTNERS HOLDINGS, INC.,</b> <i>et. al.</i>  <b>Debtors.</b>	§ § § § § §	<b>CASE NO. 15-40289-rfn11</b>  <b>JOINTLY ADMINISTERED</b> <b>(Chapter 11)</b>
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<b>LIFE PARTNERS CREDITORS’</b> <b>TRUST AND ALAN M. JACOBS, AS</b> <b>TRUSTEE OF THE LIFE PARTNERS</b> <b>CREDITORS’ TRUST</b>  <b>VS.</b>  <b>JAMES ALEXANDER, et al.; each</b> <b>individually, and as representatives or</b> <b>members of a class of all others similarly</b> <b>situated</b>	§ § § § § § § § § § §	<b>ADV. PRO. NO. 16-04036</b>  <b>CERTIFICATION OF DEFENDANT</b> <b>CLASS REQUESTED</b>
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**DECLARATION OF BRANDI KLEINMAN IN SUPPORT OF  
PLAINTIFFS’ MOTION FOR CERTIFICATION OF DEFENDANT CLASS**

I, Brandi Kleinman, declare as follows pursuant to 28 U.S.C. § 1746:

1. I am over 18 years old and I have personal knowledge of the facts set forth herein and could and would testify competently thereto if called as a witness.
  
2. I am a Vice President with Veritas Advisory Group, Inc. (“Veritas”) in Dallas, Texas. I am a Certified Public Accountant and certified in Financial Forensics, and a member of the American Institute of Certified Public Accountants. I am a member in good standing of the Texas State Board of Public Accountancy. There are no disciplinary proceedings pending against me.

3. I was retained as an expert to assist The Life Partners' Creditors Trust (the "**Creditors' Trust**") and Alan M. Jacobs, as Trustee for the Creditors' Trust (the "**Creditors' Trustee**") (collectively, the "**Plaintiffs**") in the above-referenced adversary proceeding.

4. I submit this Declaration in support of *Plaintiffs' Motion for Certification of a Defendant Class, Appointment of Class Representatives and Class Counsel, and Brief in Support* (the "**Motion**"). This Declaration and the exhibits included contain information designated as "Confidential" pursuant to the Protective Order entered on October 18, 2017 [Dkt. 380]. Accordingly, this Declaration and the accompanying exhibits are filed under seal.

5. My team and I were retained, in part, to review data received from Life Partners Holdings, Inc. ("**LPHI**") and the intermediary financial institutions and to establish a method to identify and to identify members of the proposed defendant class and to establish a method to determine liability and damages on a basis that is common typical to the proposed class and can be applied mechanically and formulaically to the proposed class.

6. Our findings and opinions relating to the issue of class certification are set forth in the attached expert report. Attached hereto as **Exhibit B-1** is a true and correct copy of the Expert Report (February 22, 2019). Attached hereto as **Exhibit B-2** is a true and correct copy of the Updated Expert Report (April 12, 2019).

7. Our findings and opinion include that the proposed defendant class and subclasses can be defined and ascertained and that there is a method to determine liability and damages on a basis that is common and typical to the proposed defendant class and subclasses, including LPHI's financial condition and the timing and amount of dividends to each class member.

8. I am the custodian of, and created, the Expert Report and Updated Expert Report (collectively, the "**Reports**") from information transmitted by persons with knowledge. The

Reports were kept in the course of a regularly conducted activity of my occupation and making the Reports was a regular practice of that activity.

9. My team and I analyzed data produced by 51 intermediaries and, as of April 12, 2019, identified 25,141 shareholders who received dividends from LPHI.<sup>1</sup> After applying threshold parameters to the data that reflect the threshold parameters for the defendant class proposed in the Motion, my team and I identified 1,986 individuals and 51 entities with names and addresses reported that received dividends equal to or greater than \$1,375 during the relevant time period, as well as, discovered 158 shareholders with partial identifying information such as account numbers. These 1,986 individuals, 51 entities, and 159 unique account identifiers received approximately \$13.6 million in dividend payments. A complete list of these shareholders and amounts received is attached hereto as **Exhibit B-3**. For the 1,986 individuals and 51 entities, Veritas has complete name and last known address information. For the 159 unique account identifiers, Veritas has the name of the applicable intermediary.

10. The amount of shareholders included in each of the proposed defendant subclasses is attached hereto as **Exhibit B-4**.

11. The following members of the proposed Defendant Subclasses received the largest share of dividend payments:

Shareholder <sup>2</sup>	Total Dividends Received
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]

<sup>1</sup> Veritas received the data from Morgan Stanley on April 19, 2019, and from Blackrock on April 29, 2019, and has included that data in our evaluation of the proposed Defendant Class.

<sup>2</sup> Names are listed as they appear in the data produced by the intermediaries.



[REDACTED]	[REDACTED]
[REDACTED] [REDACTED] [REDACTED] [REDACTED]	[REDACTED]
[REDACTED] [REDACTED] [REDACTED] [REDACTED]	[REDACTED]
[REDACTED] [REDACTED] [REDACTED] [REDACTED]	[REDACTED]

12. The shareholders who received the largest amount of dividends for each of the proposed Defendant Subclasses are attached hereto as **Exhibit B-5** and incorporated herein by reference.

13. The analyzed data identified that some of the 51 intermediaries paid LPHI dividends to accounts that appear to be related to investment firms, brokerages, mutual funds, or banks, etc. I identified 244 accounts who, together, received approximately \$1,552,679 in dividends from some of the 51 intermediaries. Data from these additional account holders should further identify the name and address of additional shareholders who received dividend payments from LPHI from January 2008 through February 2015.

14. Except as otherwise indicated, all facts set forth in this Declaration are based on my personal knowledge and my involvement as a retained expert in the above-captioned case.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on May 31, 2019 at Dallas, Texas.

Brandi Kleinman

# EXHIBIT B-1



**Life Partners Creditors' Trust and Alan M. Jacobs, as  
Trustee of the Life Partners Creditors' Trust**

**v.**

**James Alexander; et al., each individually, and as  
representatives or members of a class of all others similarly  
situated**

Expert Report  
Prepared by  
Veritas Advisory Group, Inc.

A handwritten signature in blue ink that reads "Brandi N. Kleinman". The signature is fluid and cursive, with a long horizontal stroke at the end.

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Brandi N. Kleinman

February 22, 2019



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## I. Introduction

Veritas Advisory Group, Inc. (“Veritas”) was retained by Counsel for Life Partners Creditors’ Trust (the “Creditors’ Trust”) and by Alan M. Jacobs, as Trustee of the Life Partners Creditors’ Trust (the “Creditors’ Trustee”) (collectively, the “Plaintiffs”) to: 1) establish a method to identify and to identify members of the Defendant Class and subclasses who received dividend distributions from Life Partners, 2) determine the appropriate method or methods to quantify the value of dividend distributions for each of the Defendants and each member of the Defendant Class.<sup>1 2</sup> Veritas’s work and discovery in this matter is ongoing. Veritas reserves the right to amend, revise, or supplement this Report as necessary. This Report is prepared for the purpose of evaluating issues related to the matter referenced above and cannot be used in any manner or for any other purpose without Veritas’s prior written consent. A listing of documents and other information Veritas reviewed and considered in preparing this Report is contained in **Section VII**. My curriculum vitae, publications, list of recent expert testimony, and charges in connection with this matter are contained in **Sections VIII through XI** of this Report.

## II. Qualifications

I am a Vice President of Veritas Advisory Group, Inc., a consulting firm that provides forensic accounting and litigation support services to domestic and international businesses, public entities, and governmental agencies. I am a Certified Public Accountant (“CPA”) licensed in the State of Texas and have been since 2006. I also hold the designation of Certified in Financial Forensics (“CFF”). The CFF credential is granted by the American Institute of Certified Public Accountants (“AICPA”) to CPAs who have sufficient education and over 1,000 hours of experience in the field

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<sup>1</sup> Defendants and Defendant Class are each of those shareholders of LPHI stock who received dividends paid by LPHI to such shareholder, whether directly, through an intermediary holding company, or otherwise from January 2008 through January 2015. The Defendant Class may be further divided into four subclasses based upon the receipt date of dividends: a) 2008 – 2011 Subclass (January 2008 – January 19, 2011), b) 2011 – 2013 Subclass (January 20, 2011 – January 19, 2013), c) 2013 – 2015 Subclass (January 20, 2013 – January 20, 2015); and d) Insider 2014 – 2015 Subclass (January 20, 2014 – January 20, 2015).

<sup>2</sup> Specifically excluded from “Defendant Class” are Brian Pardo, Pardo Family Holdings, Ltd., Tad M. Ballantyne, Fred Dewald, Harold E. Rafuse, and Ann M. Gray. Also excluded are individuals that have settled with Plaintiffs.



of forensic accounting. CFF holders are required to obtain 75 hours of relevant continuing education every 5 years. Additionally, I am a member of the AICPA.

I graduated from the University of Texas at Austin in 2000 with a Bachelor of Business Administration with an emphasis in finance. From July 2000 to December 2002, I held consulting positions with Navigant Consulting, Inc. where I managed teams of professionals providing forensic accounting and litigation support services. I have over 18 years of experience consulting with clients on a variety of managerial and dispute resolution matters. Additionally, I am frequently retained as a speaker or panelist to discuss the topic of damages and investigative accounting techniques.

Over the course of my career, I have provided financial and economic damage evaluations of complex commercial litigation disputes. My services frequently require me to analyze damages and perform forensic accounting for the following types of disputes: breach of contract disputes, infringement of intellectual property rights, breach of fiduciary duty, business interruption, bankruptcy, securities fraud, insurance disputes, and construction claims, among others. In the course of my experience, I have worked on numerous engagements that involve developing, analyzing, and defending against complex financial, statistical, and economic models in business litigation matters. In addition, I have conducted numerous fraud and forensic investigations.

### **III. Background**

Life Partners, Inc. (“LPI”) was in the business of marketing and selling investment contracts in the secondary market related to life insurance policies generally known as life settlements. Life Partners Holdings, Inc. (“LPHI”) was the parent company of LPI. LPHI was a public reporting company (subject to the requirements of the Securities and Exchange Act of 1934, as amended) and its stock was formerly publicly traded. Brian Pardo (“Pardo”) was the CEO of LPI until February 2015 and served as LPHI’s President, CEO, and Chairman of the Board from January 2000 until January 2015. Pardo owned more than 50% of LPHI’s stock directly and through his family trust (the “Pardo Family Trust”) making him the controlling interest in the company.



During the period relevant to this Report, Life Partners marketed and sold investment contracts based on life expectancies (“LEs”) that were often shorter than those provided on the same insureds by independent third-party vendors. The LEs were important in determining the contract sales price, and LPHI derived its income from the fees charged in connection with these sales. The investment contracts allowed investors to obtain an interest in the proceeds of life insurance policies in exchange for their capital. The capital was to be used to procure policies and escrow for future premiums. While some funds were escrowed, much of the capital was used to (among other things) pay large commissions, executive compensation, bonuses to Pardo, and to distribute LPHI dividends to the Defendants and Defendant Class. Because Life Partners misled investors about the actual LE of the insureds, investors’ escrowed funds were insufficient to pay the premiums. Life Partners eventually required the investors to make additional premium payments to cover the policy premiums, resulting in a reduction in potential returns. Or, in some cases, investors abandoned their investments. In a 2012 lawsuit the Securities and Exchange Commission brought against LPHI, Pardo and others in the Western District of Texas (Case No. 12-CV-00033), the SEC obtained a jury verdict and judgment against LPHI that found, in part, securities laws violations by LPHI and certain of its management in relation to the failure to disclose the shorted LE information.

As previously mentioned, funds from LPI’s sales of investment contracts were used in part to distribute LPHI dividends. Between January 2008 through February 2015, LPHI declared quarterly dividends on its common stock on thirty (30) separate occasions, paying almost \$65.8 million in dividends. Of this amount, \$31.9 million was paid to Pardo and Pardo Family Trust. Approximately \$33.9 million was distributed to the Defendants and Defendant Class in dividends. Plaintiffs have asserted that these dividends were fraudulent transfers because 1) Defendants and Defendant Class exchanged no reasonably equivalent consideration in exchange for the dividends, 2) LPHI (and LPI) was insolvent at the time of, or was rendered insolvent by, or had reasonably small assets or capital in relation to its business or the transaction at the time or as a result of the, declaration and payment of each of the dividends and transfers, and 3) the dividend declarations and transfers were made with the actual intent to hinder, delay, or defraud the investors and other





creditors of Life Partners. In a separate lawsuit brought in the District Court for the Northern District of Texas, Plaintiffs previously obtained a jury verdict and judgment against Pardo and others that addressed related issues. Plaintiffs are seeking to recover the LPHI dividends that were fraudulently distributed to Defendants and Defendant Class.

#### IV. LPHI Dividend Distributions

LPHI declared quarterly dividends on its common stock on thirty (30) separate occasions, paying almost \$33.9 million in dividends to shareholders (excluding payments to Pardo and Pardo Family Trust.) Specifically, from January 2008 through February 2015, LPHI declared and paid dividends on the following dates and amounts:

Date Declared	Record Date	Date Paid	Dividend/Share	Approximate Shares Outstanding	Total Dividends	Dividends to Shareholders (excl. Pardo and PFH)
2/8/2008	2/29/2008	3/14/2008	0.06	11,960,542	\$ 718,091.91	\$ 419,063.38
5/21/2008	6/1/2008	6/16/2008	0.07	11,887,213	\$ 831,562.73	\$ 483,634.10
8/7/2008	8/31/2008	9/15/2008	0.07	11,887,213	\$ 832,051.33	\$ 485,223.31
10/22/2008	11/30/2008	12/15/2008	0.08	11,887,213	\$ 953,747.17	\$ 562,001.57
2/24/2009	3/6/2009	3/16/2009	0.07	14,952,372	\$ 1,040,034.01	\$ 614,572.19
5/7/2009	5/25/2009	6/15/2009	0.07	14,859,016	\$ -	\$ -
5/14/2009	5/25/2009	6/15/2009	0.25	14,859,016	\$ 4,754,669.27	\$ 2,809,700.95
7/27/2009	8/7/2009	9/15/2009	0.25	14,859,016	\$ 3,715,339.49	\$ 1,840,339.74
10/26/2009	11/6/2009	12/15/2009	0.25	14,859,016	\$ 3,714,823.28	\$ 2,195,316.78
1/25/2010	2/5/2010	3/15/2010	0.25	14,859,016	\$ 3,714,997.59	\$ 1,839,997.84
4/26/2010	5/7/2010	6/15/2010	0.25	14,915,246	\$ 3,728,938.95	\$ 1,853,939.20
8/6/2010	8/6/2010	9/15/2010	0.25	14,915,246	\$ 3,728,877.00	\$ 1,853,877.00
9/3/2010	10/15/2010	10/29/2010	0.25	14,915,246	\$ 3,728,509.35	\$ 1,853,509.35
10/21/2010	11/5/2010	12/15/2010	0.25	14,915,246	\$ 3,728,227.50	\$ 1,853,228.25
1/6/2011	1/31/2011	2/15/2011	0.04	18,644,057	\$ 746,320.00	\$ 371,320.04
1/21/2011	2/4/2011	3/15/2011	0.20	18,644,057	\$ 3,729,547.81	\$ 1,854,548.01
5/4/2011	5/16/2011	6/15/2011	0.20	18,647,468	\$ 3,732,481.70	\$ 1,857,481.90
8/11/2011	8/26/2011	9/15/2011	0.20	18,647,468	\$ 3,729,141.66	\$ 1,854,141.86
11/23/2011	12/9/2011	12/15/2011	0.20	18,647,468	\$ 3,729,044.40	\$ 1,854,044.60
2/27/2012	3/9/2012	3/15/2012	0.10	18,647,468	\$ 1,865,322.71	\$ 927,822.81
5/23/2012	6/4/2012	6/15/2012	0.10	18,647,468	\$ 1,864,572.30	\$ 927,072.40
8/8/2012	9/3/2012	9/26/2012	0.10	18,647,468	\$ 1,865,623.56	\$ 928,123.66
12/3/2012	12/14/2012	12/17/2012	0.10	18,647,468	\$ 1,864,701.52	\$ 927,201.62
2/25/2013	3/8/2013	3/15/2013	0.10	18,647,468	\$ 1,864,565.40	\$ 927,065.50
6/4/2013	6/14/2013	6/17/2013	0.05	18,647,468	\$ 932,260.15	\$ 463,510.20
9/6/2013	9/17/2013	9/20/2013	0.05	18,647,468	\$ 932,509.06	\$ 463,759.11
12/17/2013	12/30/2013	1/3/2014	0.05	18,647,468	\$ 934,664.78	\$ 465,914.83
3/4/2014	3/14/2014	3/18/2014	0.05	18,647,468	\$ 932,356.51	\$ 463,606.56
5/28/2014	6/9/2014	6/16/2014	0.05	18,647,468	\$ 932,283.69	\$ 463,533.74
9/2/2014	9/15/2014	9/17/2014	0.05	18,647,468	\$ 928,687.24	\$ 462,243.09
<b>TOTAL DIVIDENDS PAID</b>					<b>\$ 65,773,952.07</b>	<b>\$ 33,875,793.59</b>





LPHI's general ledger identified that Pardo and/or Pardo Family Trust received approximately \$31.9 million in dividends between 2008 and 2015, while the Defendants and Defendant Class received approximately \$33.9 million in dividends. Plaintiffs are seeking to claw back the dividend payments that were distributed to the Defendants and Defendant Class.

## **V. Identification of Defendant Class and Subclasses**

As part of our work, Veritas has been asked to establish a method to identify and to identify members of the Defendant Class and subclasses who received dividend distributions from Life Partners. The Defendant Class has been defined as:

*All persons or entities who were shareholders of record or beneficial owners of shares of LPHI and received dividends at any time from January 2008 through February 2015, whether directly, through an intermediary holding company, or otherwise. Excluded from the class are Brian Pardo, Pardo Family Holdings, Ltd., Tad M. Ballantyne, Fred Dewald, Harold E. Rafuse, and Ann M. Gray.*

The Defendant Class may be further divided into four subclasses based upon the receipt date of dividends: a) 2008 – 2011 Subclass (January 2008 – January 19, 2011), b) 2011 – 2013 Subclass (January 20, 2011 – January 19, 2013), c) 2013 – 2015 Subclass (January 20, 2013 – January 20, 2015); and d) Insider 2014 – 2015 Subclass (January 20, 2014 – January 20, 2015).

Plaintiffs have identified shareholders (who are members of the Defendant Class) by obtaining information from LPHI's general ledger, company records, court filings, proofs of claim and other filings in the Bankruptcy Cases, along with shareholder communications with the Court or with representatives of the Estates. LPHI's general ledger identified dividend distributions to over 100 shareholders (excluding Pardo and Pardo Family Trust.) The General Ledger also identified approximately \$33 million in dividend distributions to "Cede & Co-The Depos." Cede & Co is a specialized United States financial institution and nominee name for The Depository Trust Company, a large clearing house that holds shares in its name for banks, brokers and institutions in order to expedite the sale and transfer of stock. Plaintiffs received a list from Cede & Co that identified financial institutions that were acting as intermediaries for LPHI shareholders. Plaintiffs



sought information from the intermediaries, including by subpoena, to obtain LPHI shareholder names and transaction data. To date, Plaintiffs have obtained LPHI shareholder data from 43 intermediaries.<sup>3</sup> Veritas compiled the LPHI shareholder data from each of the 43 intermediaries into a master database. As of the date of this Report, over 20,000 individual shareholders have been identified in investor records provided by the intermediaries, company records, and other documentation previously available to Plaintiffs. See **Attachment A**.

## **VI. Methods to Evaluate Dividend Distributions**

As part of our work, Veritas has been asked to determine the appropriate method or methods to value dividend distributions for each of the Defendants and each member of the Defendant Class. Plaintiffs have asserted that each member of the Defendant Class and subclasses received dividend distributions from LPHI at a time when LPHI had no profits to distribute. For each dividend distribution date, the criteria and determination as to whether the dividend payment constitutes a fraudulent transfer are common and typical to each of the Defendants and members of the Defendant Class.

The value of the distributions that are determined to be fraudulent can be quantified utilizing one of two methods depending on the type of data that was provided by the intermediaries. In both methods, the value can be determined mechanically and formulaically for each of the 30 distribution dates and for each member of the Defendant Class and subclasses.<sup>4</sup>

Method 1 is to obtain the actual dividend distribution amount for each member of the Defendant class directly from the data provided by the intermediaries. Method 1 can be used if the financial institutions provided all three of the following: 1) shareholder name, 2) dividend payment date, and 3) dividend payment amount. Out of the 43 intermediaries that have provided data, 35 provided

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<sup>3</sup> Veritas understands that there are outstanding subpoenas and/or requests for information to additional intermediaries. As data is provided by intermediaries, Veritas will employ the same methodology to identify additional members of the Defendant class.

<sup>4</sup> 3 of the 43 intermediaries did not provide sufficient data to utilize Method 1 or Method 2 to value the dividend distributions on each of the 30 dividend payment dates. Veritas understands that additional information has been requested from these intermediaries and expects that the data will allow the value of the dividend distributions to be determined utilizing one of the two methods identified in this Report.



sufficient data to utilize Method 1 to value the dividend distributions. Production and analysis of intermediary data is ongoing, and this number will increase. As of the date of this Report, Method 1 has been utilized to value \$13.4 million in dividend distributions.<sup>5</sup> See **Attachment A and B** for additional data related to Method 1. This Report and its attachments will be supplemented upon completion of intermediary production and analysis of same.

Method 2 is to calculate the dividend distribution amount by obtaining the number of shares held by each member of the Defendant Class on the dividend payment date and then multiplying the number of shares by the dividend per share. Method 2 is only required if the dividend payments are not shown in the investor records from the intermediary. Method 2 can be used if the intermediary provided the following: 1) shareholder name and 2) number of shares held on the record date. Out of the 43 intermediaries, 5 contain sufficient data to utilize Method 2 to value the dividend distributions. As of the date of this Report, Method 2 has been utilized to value \$80,350 in dividend distributions. Production and analysis of intermediary data is ongoing, and this number will likely increase. See **Attachment C** for an example utilizing Method 2. This Report and its attachments will be supplemented upon completion of intermediary production and analysis of same.

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<sup>5</sup> To the extent that dividends were reinvested, Veritas has included both the initial dividend and subsequent dividends in the value of the distributions, as LPHI did not offer a reinvestment plan.



## VII. List of Documents Reviewed and Considered

Date	Description
1/20/2017	Second Amended Complaint Against Defendant Class
8/8/2017	Plaintiff's Response to Pohlid Motion for Summary Judgment
8/8/2017	Brief in Support of Plaintiff's Response to Pohlid's Motion for Summary Judgment
8/8/2017	Appendix in Support of Plaintiff's Response to Pohlid's Motion for Summary Judgment and Exhibits
6/12/2017	Jury Charge – Pardo Litigation
12/29/2017	District Court Order - Pardo Litigation
2/13/18	District Court Final Order - Pardo Litigation
Various	Motion practice as to Motion for New Trial to Alter or Amend Judgment – Pardo Litigation
5/22/2018	District Court Order – Pardo Litigation
5/22/2018	District Court Amended Final Judgment – Pardo Litigation
9/28/2018	District Court Order – SEC vs. LPHI
10/18/2017	Protective Order
6/15/2018	4 <sup>th</sup> Amended Scheduling Order
	2014 LPHI Form 1099
	ABN-Amro Subpoena Response and Subpoena Response Spreadsheet
	Broadridge NOBO Request Form
	Declarations and Payments of Dividends per Bridgepoint
	LPI NOBO List from Broadridge
	March 2016 Dividend Calculations for Complaint
	Vanguard Subpoena Response
	Summary of Vanguard Financials
	Ameriprise Subpoena Responses
	Ameriprise Spreadsheets
	Apex Subpoena Responses and Answers to Deposition
	Apex Spreadsheets
	Barclays Subpoena Responses
	Barclays Financial Worksheets
	BBT Securities Financial Spreadsheets
	BBT Securities Custodian of Records and Dividends of Life Partners
	BBH Subpoena Responses and Production
	BBH Spreadsheets



Date	Description
	BNY Melon Responses to Subpoena and Shares of Life Partners Holdings
	BNY Melon Spreadsheets
	Cetera Subpoena Responses
	Summary of Cetera Production
	Charles Schwab Subpoena Responses
	Charles Schwab Spreadsheets
	Citadel Subpoena Responses and Spreadsheets
	COR Clearings Subpoena Responses and Spreadsheets
	DA Davidsons Subpoena Responses and Spreadsheets
	E-Trade Subpoena Response and Spreadsheets
	Edward Jones Subpoena Response and Spreadsheets
	First Clearing Wells Fargo Subpoena Response and Spreadsheets
	FolioFn Response and Spreadsheets
	LPHI General Ledgers for 2008 to 2015
	LPI General Ledgers for 2008 to 2015
	LPHI Trial Balances for 2011 to 2015
	LPHI Transaction Reports for 2012 to 2015
	LPI Trial Balances for 2012 to 2015
	LPI Financial Services for 2015
	LPI General Ledger Transaction Reports for 2012 to 2015
	Chart of Accounts Standard and GL Codes
	Hilliard Lyons Subpoena Response and Spreadsheets
	Hilltop Securities Subpoena Responses and Spreadsheets
	Various Entities Responses to Deposition of Written Questions
	Interactive Brokers Exhibit A and B Spreadsheets
	Interactive Brokers Response to Subpoena
	INTL FC Stone Subpoena Responses and Spreadsheets
	Janney Montgomery Spreadsheets and Dividend Distributions
	Koonce Holders of Record Files and Spreadsheets
	LEK Securities Spreadsheets
	LPL Financial Subpoena Response and Spreadsheets
	Marsco Spreadsheet and Position
	Merrill Lynch - Bank of America Subpoena Responses and Spreadsheets
	Mesirow Subpoena Responses and Spreadsheets



Date	Description
	National Fidelity Subpoena Responses and Spreadsheets
	Oppenheimer Subpoena Responses and Spreadsheets
	Options Xpress Subpoena Responses and Spreadsheets
	Pensco Subpoena Responses and Spreadsheets
	Pensco - Causin, Miller, and Wharton Statements
	Peoples Subpoena Responses and Spreadsheets
	Raymond James Subpoena Responses and Spreadsheets
	Raymond James Equity and Dividend Reports
	RBC Subpoena Responses and Spreadsheets
	SEI Subpoena Responses and Spreadsheets
	SG Americas Subpoena Responses and Spreadsheets
	Stockcross Spreadsheets and Holdings of Stock Records
	TD Ameritrade Subpoena Responses and Spreadsheets
	TradeStation Subpoena Responses and Spreadsheets
	UBS Subpoena Responses, Spreadsheets and DTC Smart Searches
	USAA Subpoena Responses and Spreadsheets
	USAA Pay Date Reports
	WedBush Subpoena and Intermediary Responses and Spreadsheets
	Wilson Davis Answers to Deposition of Written Questions
	Various Life Partners Financial Documents for 2007 and 2008
	List of Checks and Settlement Agreements Spreadsheet
	Vision Financial Subpoena Response
	Pardo Trial Exhibit 0462 - Reinhardt Report
	TK Summary Documents
	Dividends Paid Workbook



## VIII. Qualifications of Brandi Kleinman

### EMPLOYMENT HISTORY

December 2002 – Present

**Veritas Advisory Group, Inc.**

*Vice President*

Ms. Kleinman has eighteen years of experience in consulting with clients on a variety of managerial and dispute resolution matters. She provides financial and economic damage evaluations of complex commercial litigation disputes. The types of matters for which Ms. Kleinman has provided services include: breach of contract disputes, infringement of intellectual property rights, breach of fiduciary duty, business interruption, bankruptcy, securities fraud, insurance disputes, construction claims, and various matters related to the valuation of businesses and disputes. Ms. Kleinman has significant experience in the financial services, construction, energy, health care, and insurance industries.

Ms. Kleinman has worked on numerous engagements that involve developing, analyzing, and defending against complex financial, statistical, and economic models in business litigation matters. In addition, Ms. Kleinman has conducted numerous fraud and forensic investigations including alter-ego and piercing the corporate veil investigations of companies and white collar fraud investigations..

June 2000 – December 2002

**Navigant Consulting, Inc.**

*Senior Consultant*

Ms. Kleinman's responsibilities and experiences were similar to those at Veritas Advisory Group, Inc.

### EDUCATION

2000            University of Texas at Austin  
Bachelor of Business Administration – Finance

2005            University of Texas at Dallas  
Master of Science in Accounting and Information Management

2008 – 2019   Continuing Professional Education  
Numerous in-house and outside sponsored seminars, conferences, and training sessions on:  
- financial modeling techniques and financial statement analysis  
- business valuation  
- fraud and forensic accounting investigations



## **SPEECHES AND LECTURES**

Guest Lecturer - University of Texas at Austin  
Upper Division and Graduate Finance and Accounting Classes  
*Financial Theory and Practice*  
*Money, Banking and Economic Conditions*

Guest Lecturer – Texas Christian University  
Upper Division Accounting Classes  
*Managerial Accounting*  
*Business Communication*  
*Role of Accountants in Litigation*

Guest Speaker –Thompson & Knight, LLP (Dallas)  
*Selecting and Working with Damages Experts in Litigation*  
*Intellectual Property Damages*

Moderator –Women’s Legal Forum (Houston)  
*Benefits of Females as Lead Counsel*

## **ACCREDITATION AND PROFESSIONAL ORGANIZATIONS**

- \* Certified Public Accountant in the State of Texas
- \* American Institute of Certified Public Accountants
- \* Certified in Financial Forensics





## **IX. Publications**

Brandi N. Kleinman, Steven J. Kmiecik, Alan F. Nagorzanski, Rodney W. Sowards, and Daniel P. Wierzba. 2010. "Accounting Issues in Fraud Investigations." In *Construction Accounting: A Guide for Attorneys and Other Professionals*, ed. Patrick A. McGeehin, Edward G. Benes, Patrick J. Greene, Jr., and Wm. Cary Wright, 281-304. Chicago: ABA Publishing.



## **X. Testimony Experience of Brandi Kleinman**

<b><u>Case Name</u></b>	<b><u>Case #</u></b>	<b><u>Court</u></b>	<b><u>Testimony</u></b>
<b>Horizon Mental Health Management, LLC d/b/a Horizon Health Behavioral Health Services</b> v. Multicare Health System d/b/a Multicare Auburn Medical Center		The American Health Lawyers Association Dispute Resolution Service	Arbitration Hearing
Montwood Property, Ltd. v. <b>Residential Funding Corporation, et al.</b>	EP-14-CV-00325-DCG	United States District Court, Western District of Texas, El Paso Division	Deposition
<b>Andrea Polito and Andrea Polito Photography, Inc.</b> v. Neely Moldovan and Andrew Moldovan	No. DC-15-03069	In the District Court of Dallas County, Texas 134 <sup>th</sup> Judicial District	Trial
<b>Securities and Exchange Commission</b> v. Thurman P. Bryant, III and Bryant United Capital Funding, Inc. And Arthur F. Wammel, Wammel Group, LLC, Thurman P. Bryant, Jr., Carlos Goodspeed a/k/a Sean Phillips d/b/a Top Agent Entertainment d/b/a Mr. Top Agent Entertainment	04:17-CV-00336-ALM	In the United States District Court for the Eastern District of Texas, Sherman Division	Hearing



## **XI. Charges for Services**

Veritas Advisory Group, Inc. charges its clients based on an hourly rate for personnel assigned plus out-of-pocket expenses. The following are the rates for personnel that have performed services in connection with this engagement:

Vice President	\$350 - \$475
Principal	\$310 - \$345
Senior Manager	\$295
Manager	\$280
Senior Consultant	\$250
Associate	\$220
Paraprofessional	\$135

**Life Partners Creditors' Trust and Alan M. Jacobs, as Trustee of the  
Life Partners Creditors' Trust v. James Alexander, et al.  
Summary of Investor Data Provided by Intermediaries as of 2/22/2019**

Method	Financial Institution	Investor Accounts	Gross Dividend Distribution Amount	Start Date	End Date
1	1 Merrill Lynch - Bank of America	1,243	██████████	3/15/08	9/17/14
2	1 BBH	70	██████████	3/17/08	9/18/14
3	1 Charles Schwab	861	██████████	3/17/08	9/18/14
4	1 Wells Fargo	1,782	██████████	3/17/08	9/17/14
5	1 BNY Mellon Pershing	1,941	TBD [1]	3/15/08	9/17/14
6	1 Apex	896	TBD [1]	12/9/11	9/15/14
7	1 RBC	275	██████████	3/17/08	9/17/14
8	1 Edward Jones	324	TBD [1]	2/29/08	9/15/14
9	1 Interactive Brokers	754	██████████	3/15/08	1/3/14
10	1 Koonce	53	██████████	3/17/08	9/18/14
11	1 Hilltop	89	██████████	3/17/08	9/17/14
12	1 Vanguard	172	TBD [1]	12/9/11	9/15/14
13	1 OptionsXpress	246	██████████	3/15/08	9/17/14
14	1 BB&T	34	██████████	2/29/08	9/15/14
15	1 Oppenheimer	81	██████████	3/17/08	9/18/14
16	1 Janney Montgomery	59	██████████	3/17/08	6/6/14
17	1 Foliofin	566	██████████	3/17/08	9/17/14
18	1 UBS	1	██████████	3/15/11	9/17/14
19	1 Cetera	15	TBD [1]		
20	1 DA Davidson	66	TBD [1]	3/14/08	9/17/14
21	1 ABN-AMRO	6	██████████	12/17/07	9/18/14
22	1 Hilliard Lyons	9	TBD [1]	2/15/11	9/17/14
23	1 Pensco	1	TBD [1]	12/15/10	6/16/14
24	1 StockCross	6	TBD [1]	1/11/09	12/13/11
25	1 Citadel	6	██████████	3/17/08	9/17/14
26	1 Peoples	9	██████████	6/15/10	9/17/14
27	1 TradeStation	79	██████████	9/12/07	9/17/14
28	1 Ameriprise	89	TBD [1]	2/15/11	6/15/12
29	1 Intl FC Stone	58	██████████	3/17/08	3/18/14
30	1 COR Clearing	192	██████████	3/17/08	9/18/14
31	1 LPL Financial	286	██████████	3/17/08	9/17/14
32	1 Wedbush	177	██████████	3/15/08	9/17/14
33	1 TD Ameritrade	4,863	██████████	3/15/08	9/17/14
34	1 Fidelity	4,506	██████████	3/15/08	9/17/14
35	1 USAA	200	TBD [1]	12/9/11	6/9/14
	<b>Subtotal Method 1</b>	<b>20,015</b>	<b>\$ ██████████</b>		

**Life Partners Creditors' Trust and Alan M. Jacobs, as Trustee of the  
Life Partners Creditors' Trust v. James Alexander, et al.  
Summary of Investor Data Provided by Intermediaries as of 2/22/2019**

	<b>Method</b>	<b>Financial Institution</b>	<b>Investor Accounts</b>	<b>Gross Dividend Distribution Amount</b>	<b>Start Date</b>	<b>End Date</b>
36	2	Mesirow	154	TBD [1]	2/5/10	6/9/14
37	2	E Trade	50	██████		
38	2	SEI	195	██████	2/18/09	1/5/11
39	2	LEK Securities	5	██████	2/5/10	6/9/14
40	2	Raymond James	61	██████	2/4/11	9/15/14
		<b>Subtotal Method 2</b>	<b>465</b>	<b>\$ ██████</b>		
41		SG Americas	52	TBD [2]	2/29/08	9/15/14
42		Marsco	2	TBD [2]		
43		Barclays	TBD [2]	TBD [2]		
			<b>54</b>			

**Notes:** [1] Veritas has identified the relevant data, but has not completed its quantification of gross dividends.  
[2] Additional data is required to complete the quantification of gross dividends.

[illegible]

OwnerID	Settlement Date																				
	2/5/2010			5/7/2010			2/4/2011			6/14/2013			9/17/2013			12/30/2013			6/9/2014		
	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net
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# EXHIBIT B-2






**Life Partners Creditors' Trust and Alan M. Jacobs, as  
Trustee of the Life Partners Creditors' Trust**

**v.**

**James Alexander; et al., each individually, and as  
representatives or members of a class of all others similarly  
situated**

Updated Expert Report  
Prepared by  
Veritas Advisory Group, Inc.

  
Brandi N. Kleinman

April 12, 2019



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## I. Introduction

Veritas Advisory Group, Inc. (“Veritas”) was retained by Counsel for Life Partners Creditors’ Trust (the “Creditors’ Trust”) and by Alan M. Jacobs, as Trustee of the Life Partners Creditors’ Trust (the “Creditors’ Trustee”) (collectively, the “Plaintiffs”) to: 1) establish a method to identify and to identify members of the Defendant Class and subclasses who received dividend distributions from Life Partners, 2) determine the appropriate method or methods to quantify the value of dividend distributions for each of the Defendants and each member of the Defendant Class.<sup>1 2</sup> We provided an Expert Report dated February 22, 2019 (“February Report”). Subsequent to our February Report, Veritas received additional information from intermediaries and updated the listing of members of the Defendant Class and subclasses who received dividend distributions from Life Partners.

Veritas’s work and discovery in this matter is ongoing. Veritas reserves the right to amend, revise, or supplement this Report as necessary. This Report is prepared for the purpose of evaluating issues related to the matter referenced above and cannot be used in any manner or for any other purpose without Veritas’s prior written consent. A listing of documents and other information Veritas reviewed and considered in preparing this Report is contained in **Section VII**. My curriculum vitae, publications, list of recent expert testimony, and charges in connection with this matter are contained in **Sections VIII through XI** of this Report.

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<sup>1</sup> Defendants and Defendant Class are each of those shareholders of LPHI stock who received dividends paid by LPHI to such shareholder, whether directly, through an intermediary holding company, or otherwise from January 2008 through January 2015. The Defendant Class may be further divided into four subclasses based upon the receipt date of dividends: a) 2008 – 2011 Subclass (January 2008 – January 19, 2011), b) 2011 – 2013 Subclass (January 20, 2011 – January 19, 2013), c) 2013 – 2015 Subclass (January 20, 2013 – January 20, 2015); and d) Insider 2014 – 2015 Subclass (January 20, 2014 – January 20, 2015).

<sup>2</sup> Specifically excluded from “Defendant Class” are Brian Pardo, Pardo Family Holdings, Ltd., Tad M. Ballantyne, Fred Dewald, Harold E. Rafuse, and Ann M. Gray. Also excluded are individuals that have settled with Plaintiffs.



## II. Qualifications

I am a Vice President of Veritas Advisory Group, Inc., a consulting firm that provides forensic accounting and litigation support services to domestic and international businesses, public entities, and governmental agencies. I am a Certified Public Accountant (“CPA”) licensed in the State of Texas and have been since 2006. I also hold the designation of Certified in Financial Forensics (“CFF”). The CFF credential is granted by the American Institute of Certified Public Accountants (“AICPA”) to CPAs who have sufficient education and over 1,000 hours of experience in the field of forensic accounting. CFF holders are required to obtain 75 hours of relevant continuing education every 5 years. Additionally, I am a member of the AICPA.

I graduated from the University of Texas at Austin in 2000 with a Bachelor of Business Administration with an emphasis in finance. From July 2000 to December 2002, I held consulting positions with Navigant Consulting, Inc. where I managed teams of professionals providing forensic accounting and litigation support services. I have over 18 years of experience consulting with clients on a variety of managerial and dispute resolution matters. Additionally, I am frequently retained as a speaker or panelist to discuss the topic of damages and investigative accounting techniques.

Over the course of my career, I have provided financial and economic damage evaluations of complex commercial litigation disputes. My services frequently require me to analyze damages and perform forensic accounting for the following types of disputes: breach of contract disputes, infringement of intellectual property rights, breach of fiduciary duty, business interruption, bankruptcy, securities fraud, insurance disputes, and construction claims, among others. In the course of my experience, I have worked on numerous engagements that involve developing, analyzing, and defending against complex financial, statistical, and economic models in business litigation matters. In addition, I have conducted numerous fraud and forensic investigations.



### **III. Background**

Life Partners, Inc. (“LPI”) was in the business of marketing and selling investment contracts in the secondary market related to life insurance policies generally known as life settlements. Life Partners Holdings, Inc. (“LPHI”) was the parent company of LPI. LPHI was a public reporting company (subject to the requirements of the Securities and Exchange Act of 1934, as amended) and its stock was formerly publicly traded. Brian Pardo (“Pardo”) was the CEO of LPI until February 2015 and served as LPHI’s President, CEO, and Chairman of the Board from January 2000 until January 2015. Pardo owned more than 50% of LPHI’s stock directly and through his family trust (the “Pardo Family Trust”) making him the controlling interest in the company.

During the period relevant to this Report, Life Partners marketed and sold investment contracts based on life expectancies (“LEs”) that were often shorter than those provided on the same insureds by independent third-party vendors. The LEs were important in determining the contract sales price, and LPHI derived its income from the fees charged in connection with these sales. The investment contracts allowed investors to obtain an interest in the proceeds of life insurance policies in exchange for their capital. The capital was to be used to procure policies and escrow for future premiums. While some funds were escrowed, much of the capital was used to (among other things) pay large commissions, executive compensation, bonuses to Pardo, and to distribute LPHI dividends to the Defendants and Defendant Class. Because Life Partners misled investors about the actual LE of the insureds, investors’ escrowed funds were insufficient to pay the premiums. Life Partners eventually required the investors to make additional premium payments to cover the policy premiums, resulting in a reduction in potential returns. Or, in some cases, investors abandoned their investments. In a 2012 lawsuit the Securities and Exchange Commission brought against LPHI, Pardo and others in the Western District of Texas (Case No. 12-CV-00033), the SEC obtained a jury verdict and judgment against LPHI that found, in part, securities laws violations by LPHI and certain of its management in relation to the failure to disclose the shorted LE information.



As previously mentioned, funds from LPI's sales of investment contracts were used in part to distribute LPHI dividends. Between January 2008 through February 2015, LPHI declared quarterly dividends on its common stock on thirty (30) separate occasions, paying almost \$65.8 million in dividends. Of this amount, \$31.9 million was paid to Pardo and Pardo Family Trust. Approximately \$33.9 million was distributed to the Defendants and Defendant Class in dividends.<sup>3</sup> Plaintiffs have asserted that these dividends were fraudulent transfers because 1) Defendants and Defendant Class exchanged no reasonably equivalent consideration in exchange for the dividends, 2) LPHI (and LPI) was insolvent at the time of, or was rendered insolvent by, or had reasonably small assets or capital in relation to its business or the transaction at the time or as a result of the, declaration and payment of each of the dividends and transfers, and 3) the dividend declarations and transfers were made with the actual intent to hinder, delay, or defraud the investors and other creditors of Life Partners. In a separate lawsuit brought in the District Court for the Northern District of Texas, Plaintiffs previously obtained a jury verdict and judgment against Pardo and others that addressed related issues. Plaintiffs are seeking to recover the LPHI dividends that were fraudulently distributed to Defendants and Defendant Class.

#### **IV. LPHI Dividend Distributions**

LPHI declared quarterly dividends on its common stock on thirty (30) separate occasions, paying almost \$33.9 million in dividends to shareholders (excluding payments to Pardo and Pardo Family Trust.) Specifically, from January 2008 through February 2015, LPHI declared and paid dividends on the following dates and amounts:

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<sup>3</sup> Pardo and/or Pardo Family Trust received approximately \$1.1 million of the \$33.9 million in dividends through financial intermediaries that is not accounted for in the \$31.9 million previously identified as having been paid to Pardo and/or Pardo Family Trust.





Date Declared	Record Date	Date Paid	Dividend/Share	Approximate Shares Outstanding	Total Dividends	Dividends to Shareholders (excl. Pardo and PFH)
2/8/2008	2/29/2008	3/14/2008	0.06	11,960,542	\$ 718,091.91	\$ 419,063.38
5/21/2008	6/1/2008	6/16/2008	0.07	11,887,213	\$ 831,562.73	\$ 483,634.10
8/7/2008	8/31/2008	9/15/2008	0.07	11,887,213	\$ 832,051.33	\$ 485,223.31
10/22/2008	11/30/2008	12/15/2008	0.08	11,887,213	\$ 953,747.17	\$ 562,001.57
2/24/2009	3/6/2009	3/16/2009	0.07	14,952,372	\$ 1,040,034.01	\$ 614,572.19
5/7/2009	5/25/2009	6/15/2009	0.07	14,859,016	\$ -	\$ -
5/14/2009	5/25/2009	6/15/2009	0.25	14,859,016	\$ 4,754,669.27	\$ 2,809,700.95
7/27/2009	8/7/2009	9/15/2009	0.25	14,859,016	\$ 3,715,339.49	\$ 1,840,339.74
10/26/2009	11/6/2009	12/15/2009	0.25	14,859,016	\$ 3,714,823.28	\$ 2,195,316.78
1/25/2010	2/5/2010	3/15/2010	0.25	14,859,016	\$ 3,714,997.59	\$ 1,839,997.84
4/26/2010	5/7/2010	6/15/2010	0.25	14,915,246	\$ 3,728,938.95	\$ 1,853,939.20
8/6/2010	8/6/2010	9/15/2010	0.25	14,915,246	\$ 3,728,877.00	\$ 1,853,877.00
9/3/2010	10/15/2010	10/29/2010	0.25	14,915,246	\$ 3,728,509.35	\$ 1,853,509.35
10/21/2010	11/5/2010	12/15/2010	0.25	14,915,246	\$ 3,728,227.50	\$ 1,853,228.25
1/6/2011	1/31/2011	2/15/2011	0.04	18,644,057	\$ 746,320.00	\$ 371,320.04
1/21/2011	2/4/2011	3/15/2011	0.20	18,644,057	\$ 3,729,547.81	\$ 1,854,548.01
5/4/2011	5/16/2011	6/15/2011	0.20	18,647,468	\$ 3,732,481.70	\$ 1,857,481.90
8/11/2011	8/26/2011	9/15/2011	0.20	18,647,468	\$ 3,729,141.66	\$ 1,854,141.86
11/23/2011	12/9/2011	12/15/2011	0.20	18,647,468	\$ 3,729,044.40	\$ 1,854,044.60
2/27/2012	3/9/2012	3/15/2012	0.10	18,647,468	\$ 1,865,322.71	\$ 927,822.81
5/23/2012	6/4/2012	6/15/2012	0.10	18,647,468	\$ 1,864,572.30	\$ 927,072.40
8/8/2012	9/3/2012	9/26/2012	0.10	18,647,468	\$ 1,865,623.56	\$ 928,123.66
12/3/2012	12/14/2012	12/17/2012	0.10	18,647,468	\$ 1,864,701.52	\$ 927,201.62
2/25/2013	3/8/2013	3/15/2013	0.10	18,647,468	\$ 1,864,565.40	\$ 927,065.50
6/4/2013	6/14/2013	6/17/2013	0.05	18,647,468	\$ 932,260.15	\$ 463,510.20
9/6/2013	9/17/2013	9/20/2013	0.05	18,647,468	\$ 932,509.06	\$ 463,759.11
12/17/2013	12/30/2013	1/3/2014	0.05	18,647,468	\$ 934,664.78	\$ 465,914.83
3/4/2014	3/14/2014	3/18/2014	0.05	18,647,468	\$ 932,356.51	\$ 463,606.56
5/28/2014	6/9/2014	6/16/2014	0.05	18,647,468	\$ 932,283.69	\$ 463,533.74
9/2/2014	9/15/2014	9/17/2014	0.05	18,647,468	\$ 928,687.24	\$ 462,243.09
<b>TOTAL DIVIDENDS PAID</b>					<b>\$ 65,773,952.07</b>	<b>\$ 33,875,793.59</b>

LPHI's general ledger identified that Pardo and/or Pardo Family Trust received approximately \$31.9 million in dividends between 2008 and 2015, while the Defendants and Defendant Class received approximately \$33.9 million in dividends.<sup>4</sup> Plaintiffs are seeking to claw back the dividend payments that were distributed to the Defendants and Defendant Class.

<sup>4</sup> Pardo and/or Pardo Family Trust received approximately \$1.1 million of the \$33.9 million in dividends through financial intermediaries that is not accounted for in the \$31.9 million previously identified as having been paid to Pardo and/or Pardo Family Trust.



## **V. Identification of Defendant Class and Subclasses**

As part of our work, Veritas has been asked to establish a method to identify and to identify members of the Defendant Class and subclasses who received dividend distributions from Life Partners. The Defendant Class has been defined as:

All persons or entities who were shareholders of record or beneficial owners of shares of LPHI and received dividends at any time from January 2008 through February 2015, whether directly, through an intermediary holding company, or otherwise. Excluded from the class are Brian Pardo, Pardo Family Holdings, Ltd., Tad M. Ballantyne, Fred Dewald, Harold E. Rafuse, and Ann M. Gray.

The Defendant Class may be further divided into four subclasses based upon the receipt date of dividends: a) 2008 – 2011 Subclass (January 2008 – January 19, 2011), b) 2011 – 2013 Subclass (January 20, 2011 – January 19, 2013), c) 2013 – 2015 Subclass (January 20, 2013 – January 20, 2015); and d) Insider 2014 – 2015 Subclass (January 20, 2014 – January 20, 2015).

Plaintiffs have identified shareholders (who are members of the Defendant Class) by obtaining information from LPHI's general ledger, company records, court filings, proofs of claim and other filings in the Bankruptcy Cases, along with shareholder communications with the Court or with representatives of the Estates. LPHI's general ledger identified dividend distributions to over 100 shareholders (excluding Pardo and Pardo Family Trust.) The General Ledger also identified approximately \$33 million in dividend distributions to "Cede & Co-The Depos." Cede & Co is a specialized United States financial institution and nominee name for The Depository Trust Company, a large clearing house that holds shares in its name for banks, brokers and institutions in order to expedite the sale and transfer of stock. Plaintiffs received a list from Cede & Co that identified financial institutions that were acting as intermediaries for LPHI shareholders. Plaintiffs sought information from the intermediaries, including by subpoena, to obtain LPHI shareholder names and transaction data. To date, Plaintiffs have obtained LPHI shareholder data from 49





intermediaries.<sup>5</sup> Veritas compiled the LPHI shareholder data from each of the 49 intermediaries into a master database. As of the date of this Report, 25,141<sup>6</sup> individual shareholders have been identified in investor records provided by the intermediaries, company records, and other documentation previously available to Plaintiffs. See **Attachment A**.

## **VI. Methods to Evaluate Dividend Distributions**

As part of our work, Veritas has been asked to determine the appropriate method or methods to value dividend distributions for each of the Defendants and each member of the Defendant Class. Plaintiffs have asserted that each member of the Defendant Class and subclasses received dividend distributions from LPHI at a time when LPHI had no profits to distribute. For each dividend distribution date, the criteria and determination as to whether the dividend payment constitutes a fraudulent transfer are common and typical to each of the Defendants and members of the Defendant Class.

The value of the distributions that are determined to be fraudulent can be quantified utilizing one of two methods depending on the type of data that was provided by the intermediaries. In both methods, the value can be determined mechanically and formulaically for each of the 30 distribution dates and for each member of the Defendant Class and subclasses.<sup>7</sup>

Method 1 is to obtain the actual dividend distribution amount for each member of the Defendant class directly from the data provided by the intermediaries. Method 1 can be used if the financial institutions provided all three of the following: 1) shareholder name, 2) dividend payment date, and 3) dividend payment amount. Out of the 49 intermediaries that have provided data, 39 provided sufficient data to utilize Method 1 to value the dividend distributions. Production and analysis of

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<sup>5</sup> Veritas understands that there are outstanding subpoenas and/or requests for information to additional intermediaries (e.g. Blackrock Institutional Trust Company) As data is provided by intermediaries, Veritas will employ the same methodology to identify additional members of the Defendant class.

<sup>6</sup> The investor count does not include Pardo and/or Pardo Family Trust accounts nor accounts for individuals that settled with Plaintiffs.

<sup>7</sup> Barclays did not provide sufficient data to utilize Method 1 or Method 2 to value the dividend distributions on each of the 30 dividend payment dates. Veritas understands that additional information has been requested and expects that the data will allow the value of the dividend distributions to be determined utilizing one of the two methods identified in this Report.



intermediary data is ongoing, and this number will likely increase. As of the date of this Report, Method 1 has been utilized to identify approximately \$19.5 million in dividend distributions, or \$18.7 million after removing distributions to Pardo, Pardo Family Trust, and shareholders with settlement agreements.<sup>8</sup> See **Attachment A and B** for additional data related to Method 1. This Report and its attachments will be supplemented upon completion of intermediary production and analysis of same.

Method 2 is to calculate the dividend distribution amount by obtaining the number of shares held by each member of the Defendant Class on the record date and then multiply the number of shares by the dividend per share. Method 2 is only required if the dividend payments are not shown in the investor records from the intermediary. Method 2 can be used if the intermediary provided the following: 1) shareholder name and 2) number of shares held on the record date. Out of the 49 intermediaries, 9 contain sufficient data to utilize Method 2 to value the dividend distributions. As of the date of this Report, Method 2 has been utilized to identify approximately \$3.5 million in dividend distributions, or \$2.8 million after removing distributions to Pardo, Pardo Family Trust, and shareholders with settlement agreements. Production and analysis of intermediary data is ongoing, and this number will likely increase. See **Attachment C** for an example utilizing Method 2. This Report and its attachments will be supplemented upon completion of intermediary production and analysis of same.

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<sup>8</sup> To the extent that dividends were reinvested, Veritas has included both the initial dividend and subsequent dividends in the value of the distributions, as LPHI did not offer a reinvestment plan.



## VII. List of Documents Reviewed and Considered

Date	Description
1/20/2017	Second Amended Complaint Against Defendant Class
8/8/2017	Plaintiff's Response to Pohlid Motion for Summary Judgment
8/8/2017	Brief in Support of Plaintiff's Response to Pohlid's Motion for Summary Judgment
8/8/2017	Appendix in Support of Plaintiff's Response to Pohlid's Motion for Summary Judgment and Exhibits
6/12/2017	Jury Charge – Pardo Litigation
12/29/2017	District Court Order - Pardo Litigation
2/13/18	District Court Final Order - Pardo Litigation
Various	Motion practice as to Motion for New Trial to Alter or Amend Judgment – Pardo Litigation
5/22/2018	District Court Order – Pardo Litigation
5/22/2018	District Court Amended Final Judgment – Pardo Litigation
9/28/2018	District Court Order – SEC vs. LPHI
10/18/2017	Protective Order
6/15/2018	4 <sup>th</sup> Amended Scheduling Order
	2014 LPHI Form 1099
	ABN-Amro Subpoena Response and Subpoena Response Spreadsheet
	Broadridge NOBO Request Form
	Declarations and Payments of Dividends per Bridgepoint
	LPI NOBO List from Broadridge
	March 2016 Dividend Calculations for Complaint
	Vanguard Subpoena Response
	Summary of Vanguard Financials
	Ameriprise Subpoena Responses
	Ameriprise Spreadsheets
	Apex Subpoena Responses and Answers to Deposition
	Apex Spreadsheets
	Barclays Subpoena Responses
	Barclays Financial Worksheets
	BBT Securities Financial Spreadsheets
	BBT Securities Custodian of Records and Dividends of Life Partners
	BBH Subpoena Responses and Production
	BBH Spreadsheets



Date	Description
	BNY Melon Responses to Subpoena and Shares of Life Partners Holdings
	BNY Melon Spreadsheets
	Cetera Subpoena Responses
	Summary of Cetera Production
	Charles Schwab Subpoena Responses
	Charles Schwab Spreadsheets
	Citadel Subpoena Responses and Spreadsheets
	COR Clearings Subpoena Responses and Spreadsheets
	DA Davidsons Subpoena Responses and Spreadsheets
	E-Trade Subpoena Response and Spreadsheets
	Edward Jones Subpoena Response and Spreadsheets
	First Clearing Wells Fargo Subpoena Response and Spreadsheets
	FolioFn Response and Spreadsheets
	LPHI General Ledgers for 2008 to 2015
	LPI General Ledgers for 2008 to 2015
	LPHI Trial Balances for 2011 to 2015
	LPHI Transaction Reports for 2012 to 2015
	LPI Trial Balances for 2012 to 2015
	LPI Financial Services for 2015
	LPI General Ledger Transaction Reports for 2012 to 2015
	Chart of Accounts Standard and GL Codes
	Hilliard Lyons Subpoena Response and Spreadsheets
	Hilltop Securities Subpoena Responses and Spreadsheets
	Various Entities Responses to Deposition of Written Questions
	Interactive Brokers Exhibit A and B Spreadsheets
	Interactive Brokers Response to Subpoena
	INTL FC Stone Subpoena Responses and Spreadsheets
	Janney Montgomery Spreadsheets and Dividend Distributions
	Koonce Holders of Record Files and Spreadsheets
	LEK Securities Spreadsheets
	LPL Financial Subpoena Response and Spreadsheets
	Marsco Spreadsheet and Position
	Merrill Lynch - Bank of America Subpoena Responses and Spreadsheets
	Mesirow Subpoena Responses and Spreadsheets



Date	Description
	National Fidelity Subpoena Responses and Spreadsheets
	Oppenheimer Subpoena Responses and Spreadsheets
	Options Xpress Subpoena Responses and Spreadsheets
	Pensco Subpoena Responses and Spreadsheets
	Pensco - Causin, Miller, and Wharton Statements
	Peoples Subpoena Responses and Spreadsheets
	Raymond James Subpoena Responses and Spreadsheets
	Raymond James Equity and Dividend Reports
	RBC Subpoena Responses and Spreadsheets
	SEI Subpoena Responses and Spreadsheets
	SG Americas Subpoena Responses and Spreadsheets
	Stockcross Spreadsheets and Holdings of Stock Records
	TD Ameritrade Subpoena Responses and Spreadsheets
	TradeStation Subpoena Responses and Spreadsheets
	UBS Subpoena Responses, Spreadsheets and DTC Smart Searches
	USAA Subpoena Responses and Spreadsheets
	USAA Pay Date Reports
	WedBush Subpoena and Intermediary Responses and Spreadsheets
	Wilson Davis Answers to Deposition of Written Questions
	Various Life Partners Financial Documents for 2007 and 2008
	List of Checks and Settlement Agreements Spreadsheet
	Vision Financial Subpoena Response
	Pardo Trial Exhibit 0462 - Reinhardt Report
	TK Summary Documents
	Dividends Paid Workbook
	Vanguard Life Partners Subpoena Request for Production - Spreadsheet
	Barclay_s Intermediary Subpoena Response_(21218167)_(1)
	Supplemental Subpoena Response_names and addresses_sent 12_22_17 FC Stone Keybank National Association - Production on 03.26.2019 and Life Holdings Bank Records Wells Fargo - Activity_and_Dividend_Report_sent 10-18-2017_(20137167)_(1)



## VIII. Qualifications of Brandi Kleinman

### EMPLOYMENT HISTORY

December 2002 – Present

**Veritas Advisory Group, Inc.**

*Vice President*

Ms. Kleinman has eighteen years of experience in consulting with clients on a variety of managerial and dispute resolution matters. She provides financial and economic damage evaluations of complex commercial litigation disputes. The types of matters for which Ms. Kleinman has provided services include: breach of contract disputes, infringement of intellectual property rights, breach of fiduciary duty, business interruption, bankruptcy, securities fraud, insurance disputes, construction claims, and various matters related to the valuation of businesses and disputes. Ms. Kleinman has significant experience in the financial services, construction, energy, health care, and insurance industries.

Ms. Kleinman has worked on numerous engagements that involve developing, analyzing, and defending against complex financial, statistical, and economic models in business litigation matters. In addition, Ms. Kleinman has conducted numerous fraud and forensic investigations including alter-ego and piercing the corporate veil investigations of companies and white collar fraud investigations..

June 2000 – December 2002

**Navigant Consulting, Inc.**

*Senior Consultant*

Ms. Kleinman's responsibilities and experiences were similar to those at Veritas Advisory Group, Inc.

### EDUCATION

2000            University of Texas at Austin  
Bachelor of Business Administration – Finance

2005            University of Texas at Dallas  
Master of Science in Accounting and Information Management

2008 – 2019   Continuing Professional Education  
Numerous in-house and outside sponsored seminars, conferences, and training sessions on:  
- financial modeling techniques and financial statement analysis  
- business valuation  
- fraud and forensic accounting investigations



## **SPEECHES AND LECTURES**

Guest Lecturer - University of Texas at Austin  
Upper Division and Graduate Finance and Accounting Classes  
*Financial Theory and Practice*  
*Money, Banking and Economic Conditions*

Guest Lecturer – Texas Christian University  
Upper Division Accounting Classes  
*Managerial Accounting*  
*Business Communication*  
*Role of Accountants in Litigation*

Guest Speaker –Thompson & Knight, LLP (Dallas)  
*Selecting and Working with Damages Experts in Litigation*  
*Intellectual Property Damages*

Moderator –Women’s Legal Forum (Houston)  
*Benefits of Females as Lead Counsel*

## **ACCREDITATION AND PROFESSIONAL ORGANIZATIONS**

- \* Certified Public Accountant in the State of Texas
- \* American Institute of Certified Public Accountants
- \* Certified in Financial Forensics



## **IX. Publications**

Brandi N. Kleinman, Steven J. Kmiecik, Alan F. Nagorzanski, Rodney W. Sowards, and Daniel P. Wierzba. 2010. "Accounting Issues in Fraud Investigations." In *Construction Accounting: A Guide for Attorneys and Other Professionals*, ed. Patrick A. McGeehin, Edward G. Benes, Patrick J. Greene, Jr., and Wm. Cary Wright, 281-304. Chicago: ABA Publishing.





## X. Testimony Experience of Brandi Kleinman

<u>Case Name</u>	<u>Case #</u>	<u>Court</u>	<u>Testimony</u>
Horizon Mental Health Management, LLC d/b/a Horizon Health Behavioral Health Services v. Multicare Health System d/b/a Multicare Auburn Medical Center		The American Health Lawyers Association Dispute Resolution Service	Arbitration Hearing
Montwood Property, Ltd. v. Residential Funding Corporation, et al.	EP-14-CV-00325-DCG	United States District Court, Western District of Texas, El Paso Division	Deposition
Andrea Polito and Andrea Polito Photography, Inc. v. Neely Moldovan and Andrew Moldovan	No. DC-15-03069	In the District Court of Dallas County, Texas 134 <sup>th</sup> Judicial District	Trial
Securities and Exchange Commission v. Thurman P. Bryant, III and Bryant United Capital Funding, Inc. And Arthur F. Wammel, Wammel Group, LLC, Thurman P. Bryant, Jr., Carlos Goodspeed a/k/a Sean Phillips d/b/a Top Agent Entertainment d/b/a Mr. Top Agent Entertainment	04:17-CV-00336-ALM	In the United States District Court for the Eastern District of Texas, Sherman Division	Hearing



## **XI. Charges for Services**

Veritas Advisory Group, Inc. charges its clients based on an hourly rate for personnel assigned plus out-of-pocket expenses. The following are the rates for personnel that have performed services in connection with this engagement:

Vice President	\$350 - \$475
Principal	\$310 - \$345
Senior Manager	\$295
Manager	\$280
Senior Consultant	\$250
Associate	\$220
Paraprofessional	\$135

**Life Partners Creditors' Trust and Alan M. Jacobs, as Trustee of the  
Life Partners Creditors' Trust v. James Alexander, et al.  
Summary of Investor Data Provided by Intermediaries as of 4/12/2019**

Method	Financial Institution	First Record Date or Date Paid	Last Record Date or Date Paid	Investor Count	Gross Dividend Distribution	Distributions to Pardo and/or Pardo Family Trust	Distributions to Shareholders with Settlement Agreements	Dividends Adjusted for Prior Settlements	
1	1	ABN-AMRO	2/29/08	9/18/14	6				[2]
2	1	BB&T	2/29/08	9/15/14	34				
3	1	Edward Jones	2/29/08	9/15/14	318				[5]
4	1	Stifel	2/29/08	9/15/14	179				
5	1	TradeStation	2/29/08	9/17/14	72				[2] [3]
6	1	DA Davidson	3/14/08	9/17/14	60				[5]
7	1	Fidelity	3/15/08	9/17/14	4,506				[6]
8	1	Interactive Brokers	3/15/08	1/3/14	683				[3]
9	1	Merrill Lynch - Bank of America	3/15/08	9/17/14	1,222				[3] [4] [5]
10	1	OptionsXpress	3/15/08	9/17/14	242				[3]
11	1	TD Ameritrade	3/15/08	9/17/14	4,926				[3] [5]
12	1	Wedbush	3/15/08	9/17/14	177				
13	1	BBH	3/17/08	9/18/14	72				
14	1	Cetera	3/17/08	9/17/14	17				
15	1	Charles Schwab	3/17/08	9/18/14	829				[5]
16	1	Citadel	3/17/08	9/17/14	6				
17	1	COR Clearing	3/17/08	9/18/14	189				[3] [5]
18	1	Credit Suisse	3/17/08	9/20/13	14				[4]
19	1	Foliofin	3/17/08	9/17/14	563				[5]
20	1	Goldman Sachs	3/17/08	9/17/14	123				
21	1	Hilltop	3/17/08	9/17/14	78				[3] [5]
22	1	Intl FC Stone	3/17/08	3/18/14	52				[3] [5] [6]
23	1	Janney Montgomery	3/17/08	6/6/14	53				[5]
24	1	Koonce	3/17/08	9/18/14	52				[3] [6]
25	1	Oppenheimer	3/17/08	9/18/14	81				
26	1	RBC	3/17/08	9/17/14	272				[3]
27	1	ScottTrade	3/17/08	9/17/14	4,370				[3] [5]
28	1	Wells Fargo	3/17/08	9/17/14	1,738				[4] [5]
29	1	StockCross	1/11/09	12/13/11	8				
30	1	State Street	3/16/09	6/17/14	168				
31	1	Keybank	3/15/10	1/3/14	55				
32	1	Peoples	6/15/10	9/17/14	9				
33	1	Pensco	12/15/10	6/16/14	1				
34	1	Ameriprise	2/15/11	6/15/12	89				[3]
35	1	Hilliard Lyons	2/15/11	9/17/14	9				
36	1	UBS	3/15/11	9/17/14	1				
37	1	Apex	12/9/11	9/15/14	543				[3] [5]
38	1	USAA	12/9/11	6/9/14	101				
39	1	Vanguard	12/9/11	9/15/14	170				[5]
		<b>Subtotal Method 1</b>			<b>22,088</b>				
40	2	SG Americas	2/29/08	9/15/14	25				[3] [6]
41	1	BNY Mellon Pershing	3/15/08	9/17/14	1,719				[3] [4] [6]
42	2	LPL Financial	3/17/08	9/17/14	377				[5]
43	2	SEI	2/18/09	1/5/11	195				
44	2	Mesirow	2/5/10	6/9/14	121				[3]
45	2	LEK Securities	2/5/10	6/9/14	5				
46	2	Raymond James	2/4/11	9/15/14	127				[3]
47	2	E Trade	12/9/11	9/15/14	50				[6]
48	2	Marsco	6/19/14	6/19/14	2				
		<b>Subtotal Method 2</b>			<b>2,621</b>				
49		Barclays			432	TBD			[1]
50		Blackrock				TBD			[1]
					<b>25,141</b>				

**Notes:** [1] Additional data is required to complete the quantification of gross dividends. This data has been requested from Barclays and Blackrock.  
[2] Data outside of the relevant period has been excluded in the quantification of gross dividends paid.  
[3] Accounts with no positive gross disbursement are removed from the Investor Count.  
[4] Accounts belonging to Pardo and/or Pardo Family Trust are removed from the Investor Count.  
[5] Accounts belonging to Shareholders with Settlement Agreements are removed from the Investor Count.  
[6] Veritas has projected gross dividend distributions in instances where data appears to have been inadvertently omitted for certain dividend dates.

[illegible]

Life Partners Creditors’ Trust and Alan M. Jacobs, as Trustee of the  
Life Partners Creditors’ Trust v. James Alexander, et al.  
*Example of Valuation of Dividend Distribution: Method 2 for LEK Securities*

Entity	Settlement Date																				
	2/5/2010			5/7/2010			2/4/2011			6/14/2013			9/17/2013			12/30/2013			6/9/2014		
	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net	Shares	Dividend/Share	Net
██████████	████		████	████		████															
██████████				████		████															
██████████							████		████	████											
██████████											████		████		████						
██████████												████				████		████		████	
Total	████		████	████		████	████		████	████		████	████		████	████		████	████		████

# EXHIBIT B-3

Life Partners - Jacobs v. Alexander  
Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
1	Abbreviated Name	USAA		
2	Abbreviated Name	BNY Mellon-Pershing		
3	Abbreviated Name	BNY Mellon-Pershing		
4	Abbreviated Name	USAA		
5	Abbreviated Name	BNY Mellon-Pershing		
6	Abbreviated Name	BNY Mellon-Pershing		
7	Abbreviated Name	BNY Mellon-Pershing		
8	Abbreviated Name	BNY Mellon-Pershing		
9	Abbreviated Name	BNY Mellon-Pershing		
10	Abbreviated Name	BNY Mellon-Pershing		
11	Abbreviated Name	BNY Mellon-Pershing		
12	Abbreviated Name	BNY Mellon-Pershing		
13	Abbreviated Name	BNY Mellon-Pershing		
14	Abbreviated Name	USAA		
15	Abbreviated Name	BNY Mellon-Pershing		
16	Abbreviated Name	BNY Mellon-Pershing		
17	Abbreviated Name	USAA		
18	Abbreviated Name	BNY Mellon-Pershing		
19	Abbreviated Name	BNY Mellon-Pershing		
20	Abbreviated Name	BNY Mellon-Pershing		
21	Abbreviated Name	USAA		
22	Abbreviated Name	BNY Mellon-Pershing		
23	Abbreviated Name	BNY Mellon-Pershing		
24	Abbreviated Name	BNY Mellon-Pershing		
25	Abbreviated Name	BNY Mellon-Pershing		
26	Abbreviated Name	USAA		
27	Abbreviated Name	USAA		
28	Abbreviated Name	BNY Mellon-Pershing		
29	Abbreviated Name	BNY Mellon-Pershing		
30	Abbreviated Name	BNY Mellon-Pershing		
31	Abbreviated Name	BNY Mellon-Pershing		
32	Abbreviated Name	BNY Mellon-Pershing		
33	Abbreviated Name	BNY Mellon-Pershing		
34	Abbreviated Name	BNY Mellon-Pershing		
35	Abbreviated Name	BNY Mellon-Pershing		
36	Account Number	Raymond James		
37	Account Number	Barclays		
38	Account Number	Barclays		
39	Account Number	Barclays		
40	Account Number	Barclays		
41	Account Number	Barclays		
42	Account Number	Barclays		
43	Account Number	Barclays		
44	Account Number	Barclays		
45	Account Number	Barclays		
46	Account Number	Barclays		
47	Account Number	Barclays		
48	Account Number	Barclays		
49	Account Number	Barclays		
50	Account Number	Barclays		
51	Account Number	Barclays		
52	Account Number	Barclays		
53	Account Number	Barclays		
54	Account Number	Barclays		
55	Account Number	Barclays		
56	Account Number	Barclays		
57	Account Number	Barclays		
58	Account Number	Barclays		
59	Account Number	Barclays		
60	Account Number	Barclays		
61	Account Number	Barclays		
62	Account Number	Barclays		
63	Account Number	Barclays		
64	Account Number	Barclays		
65	Account Number	Barclays		
66	Account Number	Barclays		
67	Account Number	Barclays		
68	Account Number	Barclays		
69	Account Number	Barclays		
70	Account Number	Barclays		
71	Account Number	Barclays		
72	Account Number	Barclays		
73	Account Number	Barclays		
74	Account Number	Barclays		
75	Account Number	Barclays		
76	Account Number	Barclays		
77	Account Number	Barclays		
78	Account Number	Barclays		
79	Account Number	Barclays		
80	Account Number	Barclays		
81	Account Number	Barclays		
82	Account Number	Barclays		
83	Account Number	Barclays		
84	Account Number	Barclays		
85	Account Number	Barclays		
86	Account Number	Barclays		
87	Account Number	Intl FC Stone		
88	Account Number	Barclays		
89	Account Number	Barclays		
90	Account Number	Barclays		
91	Account Number	Goldman Sachs		
92	Account Number	Goldman Sachs		
93	Account Number	Goldman Sachs		
94	Account Number	Goldman Sachs		
95	Account Number	BNY Mellon-Pershing		



Life Partners - Jacobs v. Alexander  
Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
96	Account Number	BNY Mellon-Pershing		
97	Account Number	BNY Mellon-Pershing		
98	Account Number	BNY Mellon-Pershing		
99	Account Number	BNY Mellon-Pershing		
100	Account Number	BNY Mellon-Pershing		
101	Account Number	BNY Mellon-Pershing		
102	Account Number	BNY Mellon-Pershing		
103	Account Number	BNY Mellon-Pershing		
104	Account Number	BNY Mellon-Pershing		
105	Account Number	BNY Mellon-Pershing		
106	Account Number	BNY Mellon-Pershing		
107	Account Number	BNY Mellon-Pershing		
108	Account Number	BNY Mellon-Pershing		
109	Account Number	BNY Mellon-Pershing		
110	Account Number	BNY Mellon-Pershing		
111	Account Number	BNY Mellon-Pershing		
112	Account Number	BNY Mellon-Pershing		
113	Account Number	BNY Mellon-Pershing		
114	Account Number	BNY Mellon-Pershing		
115	Account Number	BNY Mellon-Pershing		
116	Account Number	BNY Mellon-Pershing		
117	Account Number	BNY Mellon-Pershing		
118	Account Number	BNY Mellon-Pershing		
119	Account Number	BNY Mellon-Pershing		
120	Account Number	BNY Mellon-Pershing		
121	Account Number	BNY Mellon-Pershing		
122	Account Number	BNY Mellon-Pershing		
123	Account Number	BNY Mellon-Pershing		
124	Account Number	BNY Mellon-Pershing		
125	Account Number	BNY Mellon-Pershing		
126	Account Number	BNY Mellon-Pershing		
127	Account Number	BNY Mellon-Pershing		
128	Account Number	BNY Mellon-Pershing		
129	Account Number	BNY Mellon-Pershing		
130	Account Number	BNY Mellon-Pershing		
131	Account Number	BNY Mellon-Pershing		
132	Account Number	BNY Mellon-Pershing		
133	Account Number	BNY Mellon-Pershing		
134	Account Number	BNY Mellon-Pershing		
135	Account Number	BNY Mellon-Pershing		
136	Account Number	BNY Mellon-Pershing		
137	Account Number	BNY Mellon-Pershing		
138	Account Number	BNY Mellon-Pershing		
139	Account Number	BNY Mellon-Pershing		
140	Account Number	BNY Mellon-Pershing		
141	Account Number	BNY Mellon-Pershing		
142	Account Number	BNY Mellon-Pershing		
143	Account Number	BNY Mellon-Pershing		
144	Account Number	BNY Mellon-Pershing		
145	Account Number	BNY Mellon-Pershing		
146	Account Number	BNY Mellon-Pershing		
147	Account Number	BNY Mellon-Pershing		
148	Account Number	BNY Mellon-Pershing		
149	Account Number	BNY Mellon-Pershing		
150	Account Number	BNY Mellon-Pershing		
151	Account Number	BNY Mellon-Pershing		
152	Account Number	Goldman Sachs		
153	Account Number	Goldman Sachs		
154	Account Number	Goldman Sachs		
155	Account Number	Goldman Sachs		
156	Account Number	BNY Mellon-Pershing		
157	Clearing Account	Citadel		
158	Clearing Account	Citadel		
159	Clearing Account	Citadel		
160	Entity or Company	State Street		
161	Entity or Company	State Street		
162	Entity or Company	State Street		
163	Entity or Company	State Street		
164	Entity or Company	Oppenheimer		
165	Entity or Company	State Street		
166	Entity or Company	State Street		
167	Entity or Company	State Street		
168	Entity or Company	State Street		
169	Entity or Company	State Street		
170	Entity or Company	State Street		
171	Entity or Company	State Street		
172	Entity or Company	State Street		
173	Entity or Company	State Street		
174	Entity or Company	Merrill Lynch - Bank of America		
175	Entity or Company	State Street		
176	Entity or Company	State Street		
177	Entity or Company	State Street		
178	Entity or Company	State Street		
179	Entity or Company	State Street		
180	Entity or Company	Morgan Stanley		
181	Entity or Company	State Street		
182	Entity or Company	Koonce		
183	Entity or Company	Wells Fargo		
184	Entity or Company	BNY Mellon-Pershing		
185	Entity or Company	State Street		
186	Entity or Company	BNY Mellon-Pershing		
187	Entity or Company	Merrill Lynch - Bank of America		
188	Entity or Company	State Street		
189	Entity or Company	State Street		
190	Entity or Company	State Street		

Life Partners - Jacobs v. Alexander  
Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
191	Entity or Company	TradeStation		
192	Entity or Company	State Street		
193	Entity or Company	Merrill Lynch - Bank of America		
194	Entity or Company	State Street		
195	Entity or Company	State Street		
196	Entity or Company	State Street		
197	Entity or Company	State Street		
198	Entity or Company	State Street		
199	Entity or Company	Wells Fargo		
200	Entity or Company	State Street		
201	Entity or Company	State Street		
202	Entity or Company	Wells Fargo		
203	Entity or Company	Wells Fargo		
204	Entity or Company	State Street		
205	Entity or Company	Goldman Sachs		
206	Entity or Company	State Street		
207	Entity or Company	State Street		
208	Entity or Company	Wells Fargo		
209	Entity or Company	State Street		
210	Entity or Company	State Street		
211	Individual	TD Ameritrade		
212	Individual	TD Ameritrade		
213	Individual	Morgan Stanley		
214	Individual	BNY Mellon-Pershing		
215	Individual	BNY Mellon-Pershing		
216	Individual	BNY Mellon-Pershing		
217	Individual	BNY Mellon-Pershing		
218	Individual	BNY Mellon-Pershing		
219	Individual	BNY Mellon-Pershing		
220	Individual	Fidelity		
221	Individual	Merrill Lynch - Bank of America		
222	Individual	BNY Mellon-Pershing		
223	Individual	BNY Mellon-Pershing		
224	Individual	Fidelity		
225	Individual	Fidelity		
226	Individual	ScottTrade		
227	Individual	Koonce		
228	Individual	Koonce		
229	Individual	Koonce		
230	Individual	COR Clearing		
231	Individual	ScottTrade		
232	Individual	TD Ameritrade		
233	Individual	TD Ameritrade		
234	Individual	Fidelity		
235	Individual	Apex		
236	Individual	ScottTrade		
237	Individual	Charles Schwab		
238	Individual	Fidelity		
239	Individual	Fidelity		
240	Individual	Fidelity		
241	Individual	Fidelity		
242	Individual	Koonce		
243	Individual	Koonce		
244	Individual	BNY Mellon-Pershing		
245	Individual	Edward Jones		
246	Individual	TD Ameritrade		
247	Individual	RBC		
248	Individual	Charles Schwab		
249	Individual	Wedbush		
250	Individual	Wedbush		
251	Individual	Wedbush		
252	Individual	Fidelity		
253	Individual	Charles Schwab		
254	Individual	SEI		
255	Individual	Fidelity		
256	Individual	Fidelity		
257	Individual	TD Ameritrade		
258	Individual	Fidelity		
259	Individual	TD Ameritrade		
260	Individual	Charles Schwab		
261	Individual	Charles Schwab		
262	Individual	TD Ameritrade		
263	Individual	Janney Montgomery		
264	Individual	Koonce		
265	Individual	Fidelity		
266	Individual	TD Ameritrade		
267	Individual	Wells Fargo		
268	Individual	Wells Fargo		
269	Individual	TD Ameritrade		
270	Individual	Merrill Lynch - Bank of America		
271	Individual	TD Ameritrade		
272	Individual	Fidelity		
273	Individual	RBC		
274	Individual	Charles Schwab		
275	Individual	Charles Schwab		
276	Individual	Fidelity		
277	Individual	Wells Fargo		
278	Individual	Fidelity		
279	Individual	Fidelity		
280	Individual	Fidelity		
281	Individual	Charles Schwab		
282	Individual	Koonce		
283	Individual	TD Ameritrade		
284	Individual	RBC		
285	Individual	Fidelity		

Life Partners - Jacobs v. Alexander  
Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
286	Individual	BB&T Securities		
287	Individual	OptionsXpress		
288	Individual	Fidelity		
289	Individual	Fidelity		
290	Individual	Fidelity		
291	Individual	Fidelity		
292	Individual	TD Ameritrade		
293	Individual	Oppenheimer		
294	Individual	Wedbush		
295	Individual	BNY Mellon-Pershing		
296	Individual	Wells Fargo		
297	Individual	Apex		
298	Individual	Stockcross		
299	Individual	Fidelity		
300	Individual	Fidelity		
301	Individual	TD Ameritrade		
302	Individual	Charles Schwab		
303	Individual	Fidelity		
304	Individual	BNY Mellon-Pershing		
305	Individual	Fidelity		
306	Individual	Fidelity		
307	Individual	Janney Montgomery		
308	Individual	TD Ameritrade		
309	Individual	TD Ameritrade		
310	Individual	Fidelity		
311	Individual	Wells Fargo		
312	Individual	ScottTrade		
313	Individual	Mesirow		
314	Individual	Fidelity		
315	Individual	ScottTrade		
316	Individual	ScottTrade		
317	Individual	ScottTrade		
318	Individual	TD Ameritrade		
319	Individual	Merrill Lynch - Bank of America		
320	Individual	Merrill Lynch - Bank of America		
321	Individual	Merrill Lynch - Bank of America		
322	Individual	Merrill Lynch - Bank of America		
323	Individual	Merrill Lynch - Bank of America		
324	Individual	Merrill Lynch - Bank of America		
325	Individual	Charles Schwab		
326	Individual	Wells Fargo		
327	Individual	TD Ameritrade		
328	Individual	TD Ameritrade		
329	Individual	Koonce		
330	Individual	Koonce		
331	Individual	ScottTrade		
332	Individual	Fidelity		
333	Individual	Hilltop		
334	Individual	Raymond James		
335	Individual	Raymond James		
336	Individual	Wells Fargo		
337	Individual	Charles Schwab		
338	Individual	ScottTrade		
339	Individual	Fidelity		
340	Individual	Fidelity		
341	Individual	Edward Jones		
342	Individual	Charles Schwab		
343	Individual	Fidelity		
344	Individual	TD Ameritrade		
345	Individual	RBC		
346	Individual	Wedbush		
347	Individual	Mesirow		
348	Individual	TD Ameritrade		
349	Individual	Charles Schwab		
350	Individual	TD Ameritrade		
351	Individual	Fidelity		
352	Individual	Fidelity		
353	Individual	Edward Jones		
354	Individual	Edward Jones		
355	Individual	Wells Fargo		
356	Individual	Fidelity		
357	Individual	Wells Fargo		
358	Individual	Morgan Stanley		
359	Individual	RBC		
360	Individual	Cetera		
361	Individual	ScottTrade		
362	Individual	Fidelity		
363	Individual	TD Ameritrade		
364	Individual	TD Ameritrade		
365	Individual	BNY Mellon-Pershing		
366	Individual	ScottTrade		
367	Individual	ScottTrade		
368	Individual	Edward Jones		
369	Individual	Charles Schwab		
370	Individual	Charles Schwab		
371	Individual	TD Ameritrade		
372	Individual	ScottTrade		
373	Individual	Edward Jones		
374	Individual	TD Ameritrade		
375	Individual	Mesirow		
376	Individual	ScottTrade		
377	Individual	TD Ameritrade		
378	Individual	Wedbush		
379	Individual	Charles Schwab		
380	Individual	Wells Fargo		

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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
381	Individual	BNY Mellon-Pershing		
382	Individual	Fidelity		
383	Individual	Charles Schwab		
384	Individual	Fidelity		
385	Individual	Charles Schwab		
386	Individual	RBC		
387	Individual	Stifel		
388	Individual	RBC		
389	Individual	TD Ameritrade		
390	Individual	ScottTrade		
391	Individual	Edward Jones		
392	Individual	Edward Jones		
393	Individual	ScottTrade		
394	Individual	ScottTrade		
395	Individual	ScottTrade		
396	Individual	Charles Schwab		
397	Individual	Charles Schwab		
398	Individual	TD Ameritrade		
399	Individual	Wells Fargo		
400	Individual	Wells Fargo		
401	Individual	TD Ameritrade		
402	Individual	Charles Schwab		
403	Individual	Fidelity		
404	Individual	TD Ameritrade		
405	Individual	Edward Jones		
406	Individual	TD Ameritrade		
407	Individual	LPL Financial		
408	Individual	Fidelity		
409	Individual	Wells Fargo		
410	Individual	Fidelity		
411	Individual	Fidelity		
412	Individual	Charles Schwab		
413	Individual	BNY Mellon-Pershing		
414	Individual	Charles Schwab		
415	Individual	Oppenheimer		
416	Individual	ScottTrade		
417	Individual	Fidelity		
418	Individual	Wells Fargo		
419	Individual	TD Ameritrade		
420	Individual	ScottTrade		
421	Individual	TD Ameritrade		
422	Individual	Charles Schwab		
423	Individual	Charles Schwab		
424	Individual	TD Ameritrade		
425	Individual	TD Ameritrade		
426	Individual	Charles Schwab		
427	Individual	TD Ameritrade		
428	Individual	TD Ameritrade		
429	Individual	TD Ameritrade		
430	Individual	TD Ameritrade		
431	Individual	ScottTrade		
432	Individual	Apex		
433	Individual	Fidelity		
434	Individual	LPL Financial		
435	Individual	ScottTrade		
436	Individual	ScottTrade		
437	Individual	ScottTrade		
438	Individual	Wells Fargo		
439	Individual	ScottTrade		
440	Individual	ScottTrade		
441	Individual	TD Ameritrade		
442	Individual	Fidelity		
443	Individual	TD Ameritrade		
444	Individual	Fidelity		
445	Individual	Fidelity		
446	Individual	TD Ameritrade		
447	Individual	TD Ameritrade		
448	Individual	Fidelity		
449	Individual	Fidelity		
450	Individual	Charles Schwab		
451	Individual	Charles Schwab		
452	Individual	Charles Schwab		
453	Individual	Fidelity		
454	Individual	TD Ameritrade		
455	Individual	TD Ameritrade		
456	Individual	Morgan Stanley		
457	Individual	Interactive Brokers		
458	Individual	ScottTrade		
459	Individual	TD Ameritrade		
460	Individual	ScottTrade		
461	Individual	ScottTrade		
462	Individual	Koonce		
463	Individual	TD Ameritrade		
464	Individual	Fidelity		
465	Individual	TD Ameritrade		
466	Individual	ScottTrade		
467	Individual	ScottTrade		
468	Individual	ScottTrade		
469	Individual	TD Ameritrade		
470	Individual	Fidelity		
471	Individual	TD Ameritrade		
472	Individual	ScottTrade		
473	Individual	ScottTrade		
474	Individual	Fidelity		
475	Individual	Fidelity		

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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
476	Individual	TD Ameritrade		
477	Individual	TD Ameritrade		
478	Individual	Koonce		
479	Individual	Koonce		
480	Individual	Fidelity		
481	Individual	Koonce		
482	Individual	Fidelity		
483	Individual	TD Ameritrade		
484	Individual	ScottTrade		
485	Individual	ScottTrade		
486	Individual	TD Ameritrade		
487	Individual	TD Ameritrade		
488	Individual	Charles Schwab		
489	Individual	Keybank		
490	Individual	BB&T Securities		
491	Individual	TD Ameritrade		
492	Individual	Fidelity		
493	Individual	Fidelity		
494	Individual	Fidelity		
495	Individual	Wedbush		
496	Individual	ScottTrade		
497	Individual	TD Ameritrade		
498	Individual	ScottTrade		
499	Individual	Fidelity		
500	Individual	Fidelity		
501	Individual	Wells Fargo		
502	Individual	ScottTrade		
503	Individual	BNY Mellon-Pershing		
504	Individual	TD Ameritrade		
505	Individual	Merrill Lynch - Bank of America		
506	Individual	Charles Schwab		
507	Individual	ScottTrade		
508	Individual	TD Ameritrade		
509	Individual	Charles Schwab		
510	Individual	Fidelity		
511	Individual	Fidelity		
512	Individual	DA Davidson		
513	Individual	TD Ameritrade		
514	Individual	Charles Schwab		
515	Individual	Charles Schwab		
516	Individual	Charles Schwab		
517	Individual	ScottTrade		
518	Individual	Charles Schwab		
519	Individual	Fidelity		
520	Individual	Charles Schwab		
521	Individual	TD Ameritrade		
522	Individual	TD Ameritrade		
523	Individual	TD Ameritrade		
524	Individual	Koonce		
525	Individual	Morgan Stanley		
526	Individual	Charles Schwab		
527	Individual	Charles Schwab		
528	Individual	TD Ameritrade		
529	Individual	Fidelity		
530	Individual	Fidelity		
531	Individual	Wells Fargo		
532	Individual	TD Ameritrade		
533	Individual	Charles Schwab		
534	Individual	OptionsXpress		
535	Individual	ScottTrade		
536	Individual	Wells Fargo		
537	Individual	Morgan Stanley		
538	Individual	Vanguard		
539	Individual	Fidelity		
540	Individual	TD Ameritrade		
541	Individual	Raymond James		
542	Individual	OptionsXpress		
543	Individual	Fidelity		
544	Individual	Wells Fargo		
545	Individual	TD Ameritrade		
546	Individual	TD Ameritrade		
547	Individual	TD Ameritrade		
548	Individual	RBC		
549	Individual	Fidelity		
550	Individual	TD Ameritrade		
551	Individual	Vanguard		
552	Individual	Wedbush		
553	Individual	TD Ameritrade		
554	Individual	Fidelity		
555	Individual	TD Ameritrade		
556	Individual	Fidelity		
557	Individual	Fidelity		
558	Individual	TD Ameritrade		
559	Individual	TD Ameritrade		
560	Individual	Wells Fargo		
561	Individual	TD Ameritrade		
562	Individual	Fidelity		
563	Individual	TD Ameritrade		
564	Individual	Merrill Lynch - Bank of America		
565	Individual	Charles Schwab		
566	Individual	Fidelity		
567	Individual	TD Ameritrade		
568	Individual	TD Ameritrade		
569	Individual	TD Ameritrade		
570	Individual	TD Ameritrade		

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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
571	Individual	TD Ameritrade		
572	Individual	TD Ameritrade		
573	Individual	Charles Schwab		
574	Individual	Charles Schwab		
575	Individual	BNY Mellon-Pershing		
576	Individual	BNY Mellon-Pershing		
577	Individual	TD Ameritrade		
578	Individual	ScottTrade		
579	Individual	TD Ameritrade		
580	Individual	Fidelity		
581	Individual	Merrill Lynch - Bank of America		
582	Individual	Koonce		
583	Individual	TD Ameritrade		
584	Individual	TD Ameritrade		
585	Individual	COR Clearing		
586	Individual	Janney Montgomery		
587	Individual	RBC		
588	Individual	Merrill Lynch - Bank of America		
589	Individual	Wells Fargo		
590	Individual	Fidelity		
591	Individual	Fidelity		
592	Individual	TD Ameritrade		
593	Individual	ScottTrade		
594	Individual	Charles Schwab		
595	Individual	TradeStation		
596	Individual	BNY Mellon-Pershing		
597	Individual	Fidelity		
598	Individual	Fidelity		
599	Individual	Apex		
600	Individual	TD Ameritrade		
601	Individual	Mesirow		
602	Individual	Charles Schwab		
603	Individual	Charles Schwab		
604	Individual	Wells Fargo		
605	Individual	Wells Fargo		
606	Individual	TD Ameritrade		
607	Individual	TD Ameritrade		
608	Individual	Fidelity		
609	Individual	RBC		
610	Individual	BNY Mellon-Pershing		
611	Individual	Wells Fargo		
612	Individual	Wells Fargo		
613	Individual	Fidelity		
614	Individual	TD Ameritrade		
615	Individual	TD Ameritrade		
616	Individual	Peoples		
617	Individual	RBC		
618	Individual	Interactive Brokers		
619	Individual	Wells Fargo		
620	Individual	Fidelity		
621	Individual	Fidelity		
622	Individual	Fidelity		
623	Individual	RBC		
624	Individual	TD Ameritrade		
625	Individual	RBC		
626	Individual	Charles Schwab		
627	Individual	TD Ameritrade		
628	Individual	BNY Mellon-Pershing		
629	Individual	ScottTrade		
630	Individual	Merrill Lynch - Bank of America		
631	Individual	ScottTrade		
632	Individual	ScottTrade		
633	Individual	Wedbush		
634	Individual	TD Ameritrade		
635	Individual	Apex		
636	Individual	ScottTrade		
637	Individual	Wedbush		
638	Individual	Fidelity		
639	Individual	Fidelity		
640	Individual	Interactive Brokers		
641	Individual	Charles Schwab		
642	Individual	Charles Schwab		
643	Individual	Apex		
644	Individual	Raymond James		
645	Individual	BNY Mellon-Pershing		
646	Individual	TD Ameritrade		
647	Individual	TD Ameritrade		
648	Individual	Fidelity		
649	Individual	Fidelity		
650	Individual	Wedbush		
651	Individual	Wells Fargo		
652	Individual	Merrill Lynch - Bank of America		
653	Individual	Pensco		
654	Individual	SEI		
655	Individual	Wells Fargo		
656	Individual	Fidelity		
657	Individual	Wells Fargo		
658	Individual	RBC		
659	Individual	Edward Jones		
660	Individual	TD Ameritrade		
661	Individual	Fidelity		
662	Individual	Vanguard		
663	Individual	Koonce		
664	Individual	Merrill Lynch - Bank of America		
665	Individual	Fidelity		

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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
666	Individual	Wells Fargo		
667	Individual	Fidelity		
668	Individual	Charles Schwab		
669	Individual	ScottTrade		
670	Individual	Wedbush		
671	Individual	Wedbush		
672	Individual	Wedbush		
673	Individual	Merrill Lynch - Bank of America		
674	Individual	Charles Schwab		
675	Individual	Wells Fargo		
676	Individual	Wedbush		
677	Individual	Fidelity		
678	Individual	Interactive Brokers		
679	Individual	Fidelity		
680	Individual	Wells Fargo		
681	Individual	RBC		
682	Individual	Merrill Lynch - Bank of America		
683	Individual	TD Ameritrade		
684	Individual	RBC		
685	Individual	Charles Schwab		
686	Individual	TD Ameritrade		
687	Individual	Wells Fargo		
688	Individual	Fidelity		
689	Individual	TD Ameritrade		
690	Individual	Wedbush		
691	Individual	Mesirow		
692	Individual	ScottTrade		
693	Individual	Edward Jones		
694	Individual	Wells Fargo		
695	Individual	BNY Mellon-Pershing		
696	Individual	BNY Mellon-Pershing		
697	Individual	Merrill Lynch - Bank of America		
698	Individual	Wells Fargo		
699	Individual	TD Ameritrade		
700	Individual	ScottTrade		
701	Individual	ScottTrade		
702	Individual	Wells Fargo		
703	Individual	Fidelity		
704	Individual	Fidelity		
705	Individual	Fidelity		
706	Individual	Fidelity		
707	Individual	Fidelity		
708	Individual	Fidelity		
709	Individual	Fidelity		
710	Individual	Fidelity		
711	Individual	Fidelity		
712	Individual	Fidelity		
713	Individual	Fidelity		
714	Individual	Fidelity		
715	Individual	Fidelity		
716	Individual	Fidelity		
717	Individual	Fidelity		
718	Individual	Fidelity		
719	Individual	Fidelity		
720	Individual	Fidelity		
721	Individual	Fidelity		
722	Individual	Fidelity		
723	Individual	Fidelity		
724	Individual	Fidelity		
725	Individual	Fidelity		
726	Individual	Fidelity		
727	Individual	Fidelity		
728	Individual	Fidelity		
729	Individual	Fidelity		
730	Individual	Fidelity		
731	Individual	Fidelity		
732	Individual	Fidelity		
733	Individual	Fidelity		
734	Individual	Fidelity		
735	Individual	Fidelity		
736	Individual	Fidelity		
737	Individual	Fidelity		
738	Individual	Fidelity		
739	Individual	Fidelity		
740	Individual	Fidelity		
741	Individual	Fidelity		
742	Individual	Fidelity		
743	Individual	Fidelity		
744	Individual	Fidelity		
745	Individual	Fidelity		
746	Individual	Fidelity		
747	Individual	Fidelity		
748	Individual	Fidelity		
749	Individual	Fidelity		
750	Individual	Fidelity		
751	Individual	Fidelity		
752	Individual	Fidelity		
753	Individual	Fidelity		
754	Individual	Fidelity		
755	Individual	Fidelity		
756	Individual	Fidelity		
757	Individual	Fidelity		
758	Individual	Fidelity		
759	Individual	Fidelity		
760	Individual	Fidelity		



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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
761	Individual	Fidelity		
762	Individual	Fidelity		
763	Individual	Fidelity		
764	Individual	Fidelity		
765	Individual	Fidelity		
766	Individual	Fidelity		
767	Individual	Fidelity		
768	Individual	Fidelity		
769	Individual	Fidelity		
770	Individual	Fidelity		
771	Individual	Fidelity		
772	Individual	Fidelity		
773	Individual	Fidelity		
774	Individual	Fidelity		
775	Individual	Fidelity		
776	Individual	Fidelity		
777	Individual	Fidelity		
778	Individual	Fidelity		
779	Individual	Fidelity		
780	Individual	Fidelity		
781	Individual	Fidelity		
782	Individual	Fidelity		
783	Individual	Fidelity		
784	Individual	Fidelity		
785	Individual	Fidelity		
786	Individual	Fidelity		
787	Individual	Fidelity		
788	Individual	Fidelity		
789	Individual	Fidelity		
790	Individual	Fidelity		
791	Individual	Fidelity		
792	Individual	Fidelity		
793	Individual	Fidelity		
794	Individual	Fidelity		
795	Individual	Fidelity		
796	Individual	Fidelity		
797	Individual	Fidelity		
798	Individual	Fidelity		
799	Individual	Fidelity		
800	Individual	Fidelity		
801	Individual	Fidelity		
802	Individual	Fidelity		
803	Individual	Fidelity		
804	Individual	Fidelity		
805	Individual	Fidelity		
806	Individual	Fidelity		
807	Individual	Fidelity		
808	Individual	Fidelity		
809	Individual	Fidelity		
810	Individual	Fidelity		
811	Individual	Fidelity		
812	Individual	Fidelity		
813	Individual	Fidelity		
814	Individual	Fidelity		
815	Individual	Fidelity		
816	Individual	Fidelity		
817	Individual	Fidelity		
818	Individual	Fidelity		
819	Individual	Fidelity		
820	Individual	Fidelity		
821	Individual	Fidelity		
822	Individual	Fidelity		
823	Individual	Fidelity		
824	Individual	Fidelity		
825	Individual	Fidelity		
826	Individual	Fidelity		
827	Individual	Fidelity		
828	Individual	Fidelity		
829	Individual	Fidelity		
830	Individual	Fidelity		
831	Individual	Fidelity		
832	Individual	Fidelity		
833	Individual	Fidelity		
834	Individual	Fidelity		
835	Individual	Fidelity		
836	Individual	Fidelity		
837	Individual	Fidelity		
838	Individual	Fidelity		
839	Individual	Fidelity		
840	Individual	Fidelity		
841	Individual	Fidelity		
842	Individual	Fidelity		
843	Individual	Fidelity		
844	Individual	Fidelity		
845	Individual	Fidelity		
846	Individual	Fidelity		
847	Individual	Fidelity		
848	Individual	Fidelity		
849	Individual	Fidelity		
850	Individual	Fidelity		
851	Individual	Fidelity		
852	Individual	Fidelity		
853	Individual	Fidelity		
854	Individual	Fidelity		
855	Individual	Fidelity		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
856	Individual	Fidelity		
857	Individual	Fidelity		
858	Individual	Fidelity		
859	Individual	ScottTrade		
860	Individual	Charles Schwab		
861	Individual	ScottTrade		
862	Individual	Fidelity		
863	Individual	Wells Fargo		
864	Individual	Fidelity		
865	Individual	TD Ameritrade		
866	Individual	Fidelity		
867	Individual	Wedbush		
868	Individual	RBC		
869	Individual	TD Ameritrade		
870	Individual	TD Ameritrade		
871	Individual	Fidelity		
872	Individual	TD Ameritrade		
873	Individual	Wedbush		
874	Individual	Wedbush		
875	Individual	Fidelity		
876	Individual	ScottTrade		
877	Individual	BNY Mellon-Pershing		
878	Individual	ScottTrade		
879	Individual	TD Ameritrade		
880	Individual	TD Ameritrade		
881	Individual	TD Ameritrade		
882	Individual	Charles Schwab		
883	Individual	Hilltop		
884	Individual	TD Ameritrade		
885	Individual	TD Ameritrade		
886	Individual	ScottTrade		
887	Individual	ScottTrade		
888	Individual	TD Ameritrade		
889	Individual	Charles Schwab		
890	Individual	Edward Jones		
891	Individual	Koonce		
892	Individual	Edward Jones		
893	Individual	ScottTrade		
894	Individual	ScottTrade		
895	Individual	Wells Fargo		
896	Individual	Fidelity		
897	Individual	TD Ameritrade		
898	Individual	TD Ameritrade		
899	Individual	TD Ameritrade		
900	Individual	BNY Mellon-Pershing		
901	Individual	TD Ameritrade		
902	Individual	Cetera		
903	Individual	Fidelity		
904	Individual	TD Ameritrade		
905	Individual	TD Ameritrade		
906	Individual	Apex		
907	Individual	Wells Fargo		
908	Individual	TD Ameritrade		
909	Individual	Edward Jones		
910	Individual	ScottTrade		
911	Individual	Wells Fargo		
912	Individual	TD Ameritrade		
913	Individual	TD Ameritrade		
914	Individual	TD Ameritrade		
915	Individual	Vanguard		
916	Individual	BB&T Securities		
917	Individual	Fidelity		
918	Individual	Charles Schwab		
919	Individual	Charles Schwab		
920	Individual	Charles Schwab		
921	Individual	TD Ameritrade		
922	Individual	Wedbush		
923	Individual	Wells Fargo		
924	Individual	Fidelity		
925	Individual	Apex		
926	Individual	Wedbush		
927	Individual	Hilltop		
928	Individual	OptionsXpress		
929	Individual	TD Ameritrade		
930	Individual	TD Ameritrade		
931	Individual	Wells Fargo		
932	Individual	ScottTrade		
933	Individual	TD Ameritrade		
934	Individual	Fidelity		
935	Individual	Wells Fargo		
936	Individual	Fidelity		
937	Individual	TD Ameritrade		
938	Individual	TD Ameritrade		
939	Individual	Charles Schwab		
940	Individual	Wedbush		
941	Individual	Koonce		
942	Individual	ScottTrade		
943	Individual	TD Ameritrade		
944	Individual	ScottTrade		
945	Individual	Wells Fargo		
946	Individual	Interactive Brokers		
947	Individual	ScottTrade		
948	Individual	ScottTrade		
949	Individual	Fidelity		
950	Individual	RBC		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
951	Individual	TD Ameritrade		
952	Individual	Wells Fargo		
953	Individual	Fidelity		
954	Individual	ScottTrade		
955	Individual	TD Ameritrade		
956	Individual	TD Ameritrade		
957	Individual	ScottTrade		
958	Individual	Fidelity		
959	Individual	Charles Schwab		
960	Individual	ScottTrade		
961	Individual	Edward Jones		
962	Individual	Charles Schwab		
963	Individual	TD Ameritrade		
964	Individual	ScottTrade		
965	Individual	RBC		
966	Individual	Hilltop		
967	Individual	Wells Fargo		
968	Individual	OptionsXpress		
969	Individual	OptionsXpress		
970	Individual	Fidelity		
971	Individual	Wells Fargo		
972	Individual	Wedbush		
973	Individual	Wedbush		
974	Individual	Fidelity		
975	Individual	OptionsXpress		
976	Individual	TD Ameritrade		
977	Individual	Fidelity		
978	Individual	Fidelity		
979	Individual	TD Ameritrade		
980	Individual	Merrill Lynch - Bank of America		
981	Individual	Merrill Lynch - Bank of America		
982	Individual	TD Ameritrade		
983	Individual	ScottTrade		
984	Individual	Charles Schwab		
985	Individual	Charles Schwab		
986	Individual	RBC		
987	Individual	Fidelity		
988	Individual	ScottTrade		
989	Individual	Vanguard		
990	Individual	Edward Jones		
991	Individual	Fidelity		
992	Individual	TD Ameritrade		
993	Individual	Wedbush		
994	Individual	ScottTrade		
995	Individual	TD Ameritrade		
996	Individual	TD Ameritrade		
997	Individual	Charles Schwab		
998	Individual	Edward Jones		
999	Individual	Fidelity		
1000	Individual	TD Ameritrade		
1001	Individual	OptionsXpress		
1002	Individual	TD Ameritrade		
1003	Individual	ScottTrade		
1004	Individual	Wells Fargo		
1005	Individual	Charles Schwab		
1006	Individual	Charles Schwab		
1007	Individual	Fidelity		
1008	Individual	ScottTrade		
1009	Individual	BNY Mellon-Pershing		
1010	Individual	BNY Mellon-Pershing		
1011	Individual	Credit Suisse		
1012	Individual	BNY Mellon-Pershing		
1013	Individual	BNY Mellon-Pershing		
1014	Individual	BNY Mellon-Pershing		
1015	Individual	BNY Mellon-Pershing		
1016	Individual	BNY Mellon-Pershing		
1017	Individual	BNY Mellon-Pershing		
1018	Individual	BNY Mellon-Pershing		
1019	Individual	Wells Fargo		
1020	Individual	TD Ameritrade		
1021	Individual	TD Ameritrade		
1022	Individual	Charles Schwab		
1023	Individual	TD Ameritrade		
1024	Individual	Fidelity		
1025	Individual	Merrill Lynch - Bank of America		
1026	Individual	Charles Schwab		
1027	Individual	Charles Schwab		
1028	Individual	TD Ameritrade		
1029	Individual	TD Ameritrade		
1030	Individual	TD Ameritrade		
1031	Individual	TD Ameritrade		
1032	Individual	Wells Fargo		
1033	Individual	Wells Fargo		
1034	Individual	Wedbush		
1035	Individual	Interactive Brokers		
1036	Individual	TD Ameritrade		
1037	Individual	TD Ameritrade		
1038	Individual	Fidelity		
1039	Individual	TD Ameritrade		
1040	Individual	Fidelity		
1041	Individual	TD Ameritrade		
1042	Individual	TD Ameritrade		
1043	Individual	Fidelity		
1044	Individual	TD Ameritrade		
1045	Individual	TD Ameritrade		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1046	Individual	TD Ameritrade		
1047	Individual	Wedbush		
1048	Individual	Charles Schwab		
1049	Individual	Fidelity		
1050	Individual	Fidelity		
1051	Individual	Fidelity		
1052	Individual	TD Ameritrade		
1053	Individual	Fidelity		
1054	Individual	Wells Fargo		
1055	Individual	Wells Fargo		
1056	Individual	Fidelity		
1057	Individual	Wedbush		
1058	Individual	Charles Schwab		
1059	Individual	TD Ameritrade		
1060	Individual	TD Ameritrade		
1061	Individual	TD Ameritrade		
1062	Individual	Interactive Brokers		
1063	Individual	TD Ameritrade		
1064	Individual	Wells Fargo		
1065	Individual	Wells Fargo		
1066	Individual	Wells Fargo		
1067	Individual	Wells Fargo		
1068	Individual	BNY Mellon-Pershing		
1069	Individual	TD Ameritrade		
1070	Individual	Fidelity		
1071	Individual	Fidelity		
1072	Individual	Wells Fargo		
1073	Individual	TD Ameritrade		
1074	Individual	Fidelity		
1075	Individual	RBC		
1076	Individual	TD Ameritrade		
1077	Individual	Morgan Stanley		
1078	Individual	Wells Fargo		
1079	Individual	Morgan Stanley		
1080	Individual	TD Ameritrade		
1081	Individual	TD Ameritrade		
1082	Individual	TD Ameritrade		
1083	Individual	Fidelity		
1084	Individual	Charles Schwab		
1085	Individual	TD Ameritrade		
1086	Individual	Fidelity		
1087	Individual	Raymond James		
1088	Individual	Charles Schwab		
1089	Individual	Wedbush		
1090	Individual	TD Ameritrade		
1091	Individual	Fidelity		
1092	Individual	Koonce		
1093	Individual	Koonce		
1094	Individual	Wells Fargo		
1095	Individual	ScottTrade		
1096	Individual	Charles Schwab		
1097	Individual	Charles Schwab		
1098	Individual	TD Ameritrade		
1099	Individual	TD Ameritrade		
1100	Individual	Fidelity		
1101	Individual	Fidelity		
1102	Individual	Morgan Stanley		
1103	Individual	Fidelity		
1104	Individual	Edward Jones		
1105	Individual	ScottTrade		
1106	Individual	ScottTrade		
1107	Individual	Fidelity		
1108	Individual	Wells Fargo		
1109	Individual	TD Ameritrade		
1110	Individual	Fidelity		
1111	Individual	TD Ameritrade		
1112	Individual	BNY Mellon-Pershing		
1113	Individual	TD Ameritrade		
1114	Individual	TD Ameritrade		
1115	Individual	Fidelity		
1116	Individual	Wells Fargo		
1117	Individual	Wells Fargo		
1118	Individual	Wells Fargo		
1119	Individual	Wells Fargo		
1120	Individual	Mesirow		
1121	Individual	Fidelity		
1122	Individual	Fidelity		
1123	Individual	Charles Schwab		
1124	Individual	Raymond James		
1125	Individual	Raymond James		
1126	Individual	Charles Schwab		
1127	Individual	Fidelity		
1128	Individual	Fidelity		
1129	Individual	Charles Schwab		
1130	Individual	TD Ameritrade		
1131	Individual	OptionsXpress		
1132	Individual	Wells Fargo		
1133	Individual	RBC		
1134	Individual	Fidelity		
1135	Individual	TD Ameritrade		
1136	Individual	Fidelity		
1137	Individual	Merrill Lynch - Bank of America		
1138	Individual	TD Ameritrade		
1139	Individual	TD Ameritrade		
1140	Individual	TD Ameritrade		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1141	Individual	Charles Schwab		
1142	Individual	TD Ameritrade		
1143	Individual	Fidelity		
1144	Individual	Charles Schwab		
1145	Individual	RBC		
1146	Individual	Hilltop		
1147	Individual	Fidelity		
1148	Individual	Fidelity		
1149	Individual	Apex		
1150	Individual	TD Ameritrade		
1151	Individual	Charles Schwab		
1152	Individual	Charles Schwab		
1153	Individual	Merrill Lynch - Bank of America		
1154	Individual	Wells Fargo		
1155	Individual	TD Ameritrade		
1156	Individual	Fidelity		
1157	Individual	Vanguard		
1158	Individual	Charles Schwab		
1159	Individual	Charles Schwab		
1160	Individual	TD Ameritrade		
1161	Individual	Charles Schwab		
1162	Individual	Charles Schwab		
1163	Individual	TD Ameritrade		
1164	Individual	TD Ameritrade		
1165	Individual	TD Ameritrade		
1166	Individual	Fidelity		
1167	Individual	Fidelity		
1168	Individual	Fidelity		
1169	Individual	Fidelity		
1170	Individual	BNY Mellon-Pershing		
1171	Individual	ScottTrade		
1172	Individual	ScottTrade		
1173	Individual	ScottTrade		
1174	Individual	Edward Jones		
1175	Individual	Koonce		
1176	Individual	Wells Fargo		
1177	Individual	TD Ameritrade		
1178	Individual	TD Ameritrade		
1179	Individual	TD Ameritrade		
1180	Individual	Fidelity		
1181	Individual	Fidelity		
1182	Individual	Fidelity		
1183	Individual	Fidelity		
1184	Individual	Interactive Brokers		
1185	Individual	Fidelity		
1186	Individual	TD Ameritrade		
1187	Individual	Apex		
1188	Individual	Fidelity		
1189	Individual	Wells Fargo		
1190	Individual	Wells Fargo		
1191	Individual	OptionsXpress		
1192	Individual	TD Ameritrade		
1193	Individual	Fidelity		
1194	Individual	Wedbush		
1195	Individual	Wells Fargo		
1196	Individual	Fidelity		
1197	Individual	Wells Fargo		
1198	Individual	TD Ameritrade		
1199	Individual	COR Clearing		
1200	Individual	OptionsXpress		
1201	Individual	Fidelity		
1202	Individual	Charles Schwab		
1203	Individual	Merrill Lynch - Bank of America		
1204	Individual	TD Ameritrade		
1205	Individual	TD Ameritrade		
1206	Individual	Mesirow		
1207	Individual	Fidelity		
1208	Individual	TD Ameritrade		
1209	Individual	TD Ameritrade		
1210	Individual	TD Ameritrade		
1211	Individual	Charles Schwab		
1212	Individual	Fidelity		
1213	Individual	Wells Fargo		
1214	Individual	Charles Schwab		
1215	Individual	Charles Schwab		
1216	Individual	ScottTrade		
1217	Individual	Wells Fargo		
1218	Individual	ScottTrade		
1219	Individual	Fidelity		
1220	Individual	Charles Schwab		
1221	Individual	Charles Schwab		
1222	Individual	Fidelity		
1223	Individual	Fidelity		
1224	Individual	Charles Schwab		
1225	Individual	Merrill Lynch - Bank of America		
1226	Individual	ScottTrade		
1227	Individual	Wedbush		
1228	Individual	ScottTrade		
1229	Individual	ScottTrade		
1230	Individual	TD Ameritrade		
1231	Individual	Wells Fargo		
1232	Individual	Fidelity		
1233	Individual	RBC		
1234	Individual	Charles Schwab		
1235	Individual	Fidelity		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1236	Individual	LPL Financial		
1237	Individual	LPL Financial		
1238	Individual	Vanguard		
1239	Individual	OptionsXpress		
1240	Individual	Fidelity		
1241	Individual	RBC		
1242	Individual	ScottTrade		
1243	Individual	ScottTrade		
1244	Individual	Charles Schwab		
1245	Individual	Merrill Lynch - Bank of America		
1246	Individual	TD Ameritrade		
1247	Individual	TD Ameritrade		
1248	Individual	Merrill Lynch - Bank of America		
1249	Individual	Fidelity		
1250	Individual	TD Ameritrade		
1251	Individual	Fidelity		
1252	Individual	TD Ameritrade		
1253	Individual	TD Ameritrade		
1254	Individual	TD Ameritrade		
1255	Individual	ScottTrade		
1256	Individual	TD Ameritrade		
1257	Individual	Fidelity		
1258	Individual	TD Ameritrade		
1259	Individual	TD Ameritrade		
1260	Individual	BNY Mellon-Pershing		
1261	Individual	Edward Jones		
1262	Individual	Interactive Brokers		
1263	Individual	Wells Fargo		
1264	Individual	ScottTrade		
1265	Individual	Charles Schwab		
1266	Individual	ScottTrade		
1267	Individual	Koonce		
1268	Individual	RBC		
1269	Individual	TD Ameritrade		
1270	Individual	Merrill Lynch - Bank of America		
1271	Individual	Charles Schwab		
1272	Individual	Fidelity		
1273	Individual	Wells Fargo		
1274	Individual	Wells Fargo		
1275	Individual	TD Ameritrade		
1276	Individual	ScottTrade		
1277	Individual	LPL Financial		
1278	Individual	Wells Fargo		
1279	Individual	Fidelity		
1280	Individual	Fidelity		
1281	Individual	Raymond James		
1282	Individual	Fidelity		
1283	Individual	Fidelity		
1284	Individual	Fidelity		
1285	Individual	TD Ameritrade		
1286	Individual	TD Ameritrade		
1287	Individual	TD Ameritrade		
1288	Individual	TD Ameritrade		
1289	Individual	Merrill Lynch - Bank of America		
1290	Individual	Vanguard		
1291	Individual	BNY Mellon-Pershing		
1292	Individual	Koonce		
1293	Individual	BB&T Securities		
1294	Individual	Vanguard		
1295	Individual	RBC		
1296	Individual	ScottTrade		
1297	Individual	TD Ameritrade		
1298	Individual	ScottTrade		
1299	Individual	ScottTrade		
1300	Individual	ScottTrade		
1301	Individual	BNY Mellon-Pershing		
1302	Individual	ScottTrade		
1303	Individual	Fidelity		
1304	Individual	LPL Financial		
1305	Individual	ScottTrade		
1306	Individual	RBC		
1307	Individual	Charles Schwab		
1308	Individual	Stifel		
1309	Individual	TD Ameritrade		
1310	Individual	Fidelity		
1311	Individual	Charles Schwab		
1312	Individual	TD Ameritrade		
1313	Individual	ScottTrade		
1314	Individual	Merrill Lynch - Bank of America		
1315	Individual	Edward Jones		
1316	Individual	Fidelity		
1317	Individual	Wedbush		
1318	Individual	ScottTrade		
1319	Individual	Charles Schwab		
1320	Individual	Koonce		
1321	Individual	Vanguard		
1322	Individual	ScottTrade		
1323	Individual	RBC		
1324	Individual	Wells Fargo		
1325	Individual	TD Ameritrade		
1326	Individual	Merrill Lynch - Bank of America		
1327	Individual	ScottTrade		
1328	Individual	Wells Fargo		
1329	Individual	ScottTrade		
1330	Individual	Wells Fargo		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1331	Individual	ScottTrade		
1332	Individual	Wells Fargo		
1333	Individual	ScottTrade		
1334	Individual	Fidelity		
1335	Individual	TD Ameritrade		
1336	Individual	Wells Fargo		
1337	Individual	Fidelity		
1338	Individual	ScottTrade		
1339	Individual	Fidelity		
1340	Individual	Fidelity		
1341	Individual	Charles Schwab		
1342	Individual	ScottTrade		
1343	Individual	ScottTrade		
1344	Individual	Fidelity		
1345	Individual	Fidelity		
1346	Individual	Wells Fargo		
1347	Individual	Wells Fargo		
1348	Individual	Fidelity		
1349	Individual	Fidelity		
1350	Individual	Wells Fargo		
1351	Individual	Charles Schwab		
1352	Individual	Charles Schwab		
1353	Individual	Charles Schwab		
1354	Individual	Wedbush		
1355	Individual	Wells Fargo		
1356	Individual	Fidelity		
1357	Individual	Koonce		
1358	Individual	Koonce		
1359	Individual	Fidelity		
1360	Individual	ScottTrade		
1361	Individual	Oppenheimer		
1362	Individual	Charles Schwab		
1363	Individual	TD Ameritrade		
1364	Individual	TD Ameritrade		
1365	Individual	Mesirow		
1366	Individual	Fidelity		
1367	Individual	BNY Mellon-Pershing		
1368	Individual	RBC		
1369	Individual	Merrill Lynch - Bank of America		
1370	Individual	Wedbush		
1371	Individual	TD Ameritrade		
1372	Individual	TD Ameritrade		
1373	Individual	Raymond James		
1374	Individual	RBC		
1375	Individual	TD Ameritrade		
1376	Individual	Charles Schwab		
1377	Individual	Fidelity		
1378	Individual	Fidelity		
1379	Individual	TD Ameritrade		
1380	Individual	Fidelity		
1381	Individual	TD Ameritrade		
1382	Individual	Charles Schwab		
1383	Individual	Merrill Lynch - Bank of America		
1384	Individual	Merrill Lynch - Bank of America		
1385	Individual	Charles Schwab		
1386	Individual	TD Ameritrade		
1387	Individual	Wells Fargo		
1388	Individual	Charles Schwab		
1389	Individual	TD Ameritrade		
1390	Individual	TD Ameritrade		
1391	Individual	OptionsXpress		
1392	Individual	TD Ameritrade		
1393	Individual	Fidelity		
1394	Individual	TD Ameritrade		
1395	Individual	Charles Schwab		
1396	Individual	Fidelity		
1397	Individual	TD Ameritrade		
1398	Individual	RBC		
1399	Individual	LPL Financial		
1400	Individual	Wedbush		
1401	Individual	Koonce		
1402	Individual	Koonce		
1403	Individual	Fidelity		
1404	Individual	Wells Fargo		
1405	Individual	OptionsXpress		
1406	Individual	Fidelity		
1407	Individual	Wells Fargo		
1408	Individual	Fidelity		
1409	Individual	Fidelity		
1410	Individual	Fidelity		
1411	Individual	Fidelity		
1412	Individual	Fidelity		
1413	Individual	Wells Fargo		
1414	Individual	Wedbush		
1415	Individual	Wedbush		
1416	Individual	Wedbush		
1417	Individual	Fidelity		
1418	Individual	TD Ameritrade		
1419	Individual	ScottTrade		
1420	Individual	Charles Schwab		
1421	Individual	Wells Fargo		
1422	Individual	Mesirow		
1423	Individual	TD Ameritrade		
1424	Individual	RBC		
1425	Individual	Fidelity		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1426	Individual	TD Ameritrade		
1427	Individual	TD Ameritrade		
1428	Individual	TD Ameritrade		
1429	Individual	Wells Fargo		
1430	Individual	ScottTrade		
1431	Individual	ScottTrade		
1432	Individual	ScottTrade		
1433	Individual	ScottTrade		
1434	Individual	ScottTrade		
1435	Individual	ScottTrade		
1436	Individual	ScottTrade		
1437	Individual	Merrill Lynch - Bank of America		
1438	Individual	Fidelity		
1439	Individual	ScottTrade		
1440	Individual	Fidelity		
1441	Individual	Fidelity		
1442	Individual	Merrill Lynch - Bank of America		
1443	Individual	Mesirow		
1444	Individual	Mesirow		
1445	Individual	Mesirow		
1446	Individual	Edward Jones		
1447	Individual	Fidelity		
1448	Individual	TD Ameritrade		
1449	Individual	TD Ameritrade		
1450	Individual	Fidelity		
1451	Individual	Charles Schwab		
1452	Individual	Charles Schwab		
1453	Individual	Fidelity		
1454	Individual	Fidelity		
1455	Individual	TD Ameritrade		
1456	Individual	TD Ameritrade		
1457	Individual	TD Ameritrade		
1458	Individual	TD Ameritrade		
1459	Individual	Hillard Lyons		
1460	Individual	OptionsXpress		
1461	Individual	Charles Schwab		
1462	Individual	Fidelity		
1463	Individual	TD Ameritrade		
1464	Individual	Fidelity		
1465	Individual	TD Ameritrade		
1466	Individual	Fidelity		
1467	Individual	Fidelity		
1468	Individual	Fidelity		
1469	Individual	Fidelity		
1470	Individual	Fidelity		
1471	Individual	Fidelity		
1472	Individual	Fidelity		
1473	Individual	Charles Schwab		
1474	Individual	TD Ameritrade		
1475	Individual	Wells Fargo		
1476	Individual	Wells Fargo		
1477	Individual	Wells Fargo		
1478	Individual	TD Ameritrade		
1479	Individual	ScottTrade		
1480	Individual	Wells Fargo		
1481	Individual	Interactive Brokers		
1482	Individual	Charles Schwab		
1483	Individual	ScottTrade		
1484	Individual	ScottTrade		
1485	Individual	ScottTrade		
1486	Individual	ScottTrade		
1487	Individual	ScottTrade		
1488	Individual	ScottTrade		
1489	Individual	Fidelity		
1490	Individual	TD Ameritrade		
1491	Individual	TD Ameritrade		
1492	Individual	Fidelity		
1493	Individual	ScottTrade		
1494	Individual	Merrill Lynch - Bank of America		
1495	Individual	Merrill Lynch - Bank of America		
1496	Individual	Merrill Lynch - Bank of America		
1497	Individual	Merrill Lynch - Bank of America		
1498	Individual	Merrill Lynch - Bank of America		
1499	Individual	Merrill Lynch - Bank of America		
1500	Individual	Merrill Lynch - Bank of America		
1501	Individual	Merrill Lynch - Bank of America		
1502	Individual	Merrill Lynch - Bank of America		
1503	Individual	Merrill Lynch - Bank of America		
1504	Individual	Merrill Lynch - Bank of America		
1505	Individual	Merrill Lynch - Bank of America		
1506	Individual	Merrill Lynch - Bank of America		
1507	Individual	Merrill Lynch - Bank of America		
1508	Individual	Merrill Lynch - Bank of America		
1509	Individual	Merrill Lynch - Bank of America		
1510	Individual	Merrill Lynch - Bank of America		
1511	Individual	Charles Schwab		
1512	Individual	TD Ameritrade		
1513	Individual	Fidelity		
1514	Individual	ScottTrade		
1515	Individual	Wells Fargo		
1516	Individual	Wells Fargo		
1517	Individual	COR Clearing		
1518	Individual	Merrill Lynch - Bank of America		
1519	Individual	Fidelity		
1520	Individual	Merrill Lynch - Bank of America		



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Count	Classification	Intermediary	Shareholder	Gross Dividend
1521	Individual	Foliofin		
1522	Individual	Wells Fargo		
1523	Individual	ScottTrade		
1524	Individual	TD Ameritrade		
1525	Individual	TD Ameritrade		
1526	Individual	TD Ameritrade		
1527	Individual	Fidelity		
1528	Individual	Fidelity		
1529	Individual	TD Ameritrade		
1530	Individual	TD Ameritrade		
1531	Individual	TD Ameritrade		
1532	Individual	Merrill Lynch - Bank of America		
1533	Individual	TD Ameritrade		
1534	Individual	Fidelity		
1535	Individual	Wedbush		
1536	Individual	Fidelity		
1537	Individual	RBC		
1538	Individual	ScottTrade		
1539	Individual	ScottTrade		
1540	Individual	ScottTrade		
1541	Individual	Fidelity		
1542	Individual	Fidelity		
1543	Individual	Fidelity		
1544	Individual	Fidelity		
1545	Individual	Fidelity		
1546	Individual	Fidelity		
1547	Individual	Fidelity		
1548	Individual	Fidelity		
1549	Individual	Fidelity		
1550	Individual	Fidelity		
1551	Individual	Fidelity		
1552	Individual	Fidelity		
1553	Individual	Fidelity		
1554	Individual	Fidelity		
1555	Individual	Fidelity		
1556	Individual	Fidelity		
1557	Individual	Fidelity		
1558	Individual	Fidelity		
1559	Individual	Fidelity		
1560	Individual	Fidelity		
1561	Individual	Fidelity		
1562	Individual	Fidelity		
1563	Individual	Fidelity		
1564	Individual	Fidelity		
1565	Individual	Fidelity		
1566	Individual	Fidelity		
1567	Individual	Fidelity		
1568	Individual	Fidelity		
1569	Individual	Fidelity		
1570	Individual	Fidelity		
1571	Individual	Fidelity		
1572	Individual	Fidelity		
1573	Individual	Fidelity		
1574	Individual	Fidelity		
1575	Individual	Fidelity		
1576	Individual	Fidelity		
1577	Individual	Fidelity		
1578	Individual	Fidelity		
1579	Individual	Fidelity		
1580	Individual	Fidelity		
1581	Individual	Fidelity		
1582	Individual	Fidelity		
1583	Individual	Fidelity		
1584	Individual	Fidelity		
1585	Individual	Fidelity		
1586	Individual	Fidelity		
1587	Individual	Fidelity		
1588	Individual	Fidelity		
1589	Individual	Fidelity		
1590	Individual	Fidelity		
1591	Individual	Fidelity		
1592	Individual	Fidelity		
1593	Individual	Fidelity		
1594	Individual	Fidelity		
1595	Individual	Fidelity		
1596	Individual	Fidelity		
1597	Individual	Fidelity		
1598	Individual	Fidelity		
1599	Individual	Fidelity		
1600	Individual	Fidelity		
1601	Individual	Fidelity		
1602	Individual	Fidelity		
1603	Individual	Fidelity		
1604	Individual	Fidelity		
1605	Individual	Fidelity		
1606	Individual	Fidelity		
1607	Individual	Fidelity		
1608	Individual	Fidelity		
1609	Individual	Fidelity		
1610	Individual	Fidelity		
1611	Individual	Fidelity		
1612	Individual	Fidelity		
1613	Individual	Fidelity		
1614	Individual	Fidelity		
1615	Individual	Fidelity		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1616	Individual	Fidelity		
1617	Individual	Fidelity		
1618	Individual	Fidelity		
1619	Individual	Fidelity		
1620	Individual	Fidelity		
1621	Individual	Fidelity		
1622	Individual	Fidelity		
1623	Individual	Fidelity		
1624	Individual	Fidelity		
1625	Individual	Fidelity		
1626	Individual	Fidelity		
1627	Individual	Fidelity		
1628	Individual	Fidelity		
1629	Individual	Fidelity		
1630	Individual	Fidelity		
1631	Individual	Fidelity		
1632	Individual	Fidelity		
1633	Individual	Fidelity		
1634	Individual	Fidelity		
1635	Individual	Fidelity		
1636	Individual	Fidelity		
1637	Individual	Fidelity		
1638	Individual	Fidelity		
1639	Individual	Fidelity		
1640	Individual	Fidelity		
1641	Individual	Fidelity		
1642	Individual	Fidelity		
1643	Individual	Fidelity		
1644	Individual	Fidelity		
1645	Individual	Fidelity		
1646	Individual	Fidelity		
1647	Individual	Fidelity		
1648	Individual	Fidelity		
1649	Individual	Fidelity		
1650	Individual	Fidelity		
1651	Individual	Fidelity		
1652	Individual	Fidelity		
1653	Individual	Fidelity		
1654	Individual	Fidelity		
1655	Individual	Fidelity		
1656	Individual	Fidelity		
1657	Individual	Fidelity		
1658	Individual	Fidelity		
1659	Individual	Fidelity		
1660	Individual	Fidelity		
1661	Individual	Fidelity		
1662	Individual	Fidelity		
1663	Individual	Fidelity		
1664	Individual	Fidelity		
1665	Individual	Fidelity		
1666	Individual	Fidelity		
1667	Individual	Fidelity		
1668	Individual	Fidelity		
1669	Individual	Fidelity		
1670	Individual	Fidelity		
1671	Individual	Fidelity		
1672	Individual	Fidelity		
1673	Individual	Fidelity		
1674	Individual	Fidelity		
1675	Individual	Fidelity		
1676	Individual	Fidelity		
1677	Individual	Fidelity		
1678	Individual	Fidelity		
1679	Individual	Fidelity		
1680	Individual	Fidelity		
1681	Individual	Fidelity		
1682	Individual	Fidelity		
1683	Individual	Fidelity		
1684	Individual	Interactive Brokers		
1685	Individual	Fidelity		
1686	Individual	Charles Schwab		
1687	Individual	TD Ameritrade		
1688	Individual	TD Ameritrade		
1689	Individual	Charles Schwab		
1690	Individual	Vanguard		
1691	Individual	Apex		
1692	Individual	TD Ameritrade		
1693	Individual	Wells Fargo		
1694	Individual	Wells Fargo		
1695	Individual	TD Ameritrade		
1696	Individual	ScottTrade		
1697	Individual	ScottTrade		
1698	Individual	TD Ameritrade		
1699	Individual	BBAT Securities		
1700	Individual	Int'l FC Stone		
1701	Individual	RBC		
1702	Individual	ScottTrade		
1703	Individual	ScottTrade		
1704	Individual	Fidelity		
1705	Individual	ScottTrade		
1706	Individual	Wedbush		
1707	Individual	Vanguard		
1708	Individual	Oppenheimer		
1709	Individual	Charles Schwab		
1710	Individual	Charles Schwab		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1711	Individual	ScottTrade		
1712	Individual	ScottTrade		
1713	Individual	ScottTrade		
1714	Individual	ScottTrade		
1715	Individual	BB&T Securities		
1716	Individual	TD Ameritrade		
1717	Individual	TD Ameritrade		
1718	Individual	TD Ameritrade		
1719	Individual	TD Ameritrade		
1720	Individual	Charles Schwab		
1721	Individual	Charles Schwab		
1722	Individual	Merrill Lynch - Bank of America		
1723	Individual	TD Ameritrade		
1724	Individual	Wells Fargo		
1725	Individual	Fidelity		
1726	Individual	Fidelity		
1727	Individual	TD Ameritrade		
1728	Individual	Fidelity		
1729	Individual	Fidelity		
1730	Individual	Fidelity		
1731	Individual	Fidelity		
1732	Individual	Fidelity		
1733	Individual	ScottTrade		
1734	Individual	ScottTrade		
1735	Individual	BB&T Securities		
1736	Individual	BB&T Securities		
1737	Individual	Fidelity		
1738	Individual	ScottTrade		
1739	Individual	TD Ameritrade		
1740	Individual	Fidelity		
1741	Individual	Fidelity		
1742	Individual	TD Ameritrade		
1743	Individual	Janney Montgomery		
1744	Individual	Fidelity		
1745	Individual	TD Ameritrade		
1746	Individual	BNY Mellon-Pershing		
1747	Individual	Fidelity		
1748	Individual	ScottTrade		
1749	Individual	TD Ameritrade		
1750	Individual	OptionsXpress		
1751	Individual	OptionsXpress		
1752	Individual	E-Trade		
1753	Individual	Fidelity		
1754	Individual	Wells Fargo		
1755	Individual	Wells Fargo		
1756	Individual	TD Ameritrade		
1757	Individual	Merrill Lynch - Bank of America		
1758	Individual	Raymond James		
1759	Individual	Raymond James		
1760	Individual	TD Ameritrade		
1761	Individual	Morgan Stanley		
1762	Individual	Edward Jones		
1763	Individual	ScottTrade		
1764	Individual	ScottTrade		
1765	Individual	ScottTrade		
1766	Individual	ScottTrade		
1767	Individual	TD Ameritrade		
1768	Individual	Wells Fargo		
1769	Individual	LPL Financial		
1770	Individual	LPL Financial		
1771	Individual	LPL Financial		
1772	Individual	TD Ameritrade		
1773	Individual	ScottTrade		
1774	Individual	ScottTrade		
1775	Individual	Fidelity		
1776	Individual	Charles Schwab		
1777	Individual	Fidelity		
1778	Individual	Fidelity		
1779	Individual	RBC		
1780	Individual	RBC		
1781	Individual	Morgan Stanley		
1782	Individual	ScottTrade		
1783	Individual	TD Ameritrade		
1784	Individual	Fidelity		
1785	Individual	Fidelity		
1786	Individual	TD Ameritrade		
1787	Individual	TD Ameritrade		
1788	Individual	TD Ameritrade		
1789	Individual	Wedbush		
1790	Individual	Hilltop		
1791	Individual	Merrill Lynch - Bank of America		
1792	Individual	Charles Schwab		
1793	Individual	TD Ameritrade		
1794	Individual	Fidelity		
1795	Individual	Wells Fargo		
1796	Individual	ScottTrade		
1797	Individual	ScottTrade		
1798	Individual	ScottTrade		
1799	Individual	Vanguard		
1800	Individual	Vanguard		
1801	Individual	Fidelity		
1802	Individual	ScottTrade		
1803	Individual	ScottTrade		
1804	Individual	Wells Fargo		
1805	Individual	TD Ameritrade		

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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
1806	Individual	Vanguard		
1807	Individual	Wells Fargo		
1808	Individual	Fidelity		
1809	Individual	ScottTrade		
1810	Individual	Fidelity		
1811	Individual	Fidelity		
1812	Individual	Fidelity		
1813	Individual	TD Ameritrade		
1814	Individual	Merrill Lynch - Bank of America		
1815	Individual	Fidelity		
1816	Individual	Fidelity		
1817	Individual	Fidelity		
1818	Individual	Fidelity		
1819	Individual	TD Ameritrade		
1820	Individual	Fidelity		
1821	Individual	RBC		
1822	Individual	Fidelity		
1823	Individual	TD Ameritrade		
1824	Individual	TD Ameritrade		
1825	Individual	TD Ameritrade		
1826	Individual	Fidelity		
1827	Individual	Wedbush		
1828	Individual	Fidelity		
1829	Individual	Fidelity		
1830	Individual	Fidelity		
1831	Individual	Fidelity		
1832	Individual	Wedbush		
1833	Individual	BB&T Securities		
1834	Individual	Fidelity		
1835	Individual	Fidelity		
1836	Individual	TD Ameritrade		
1837	Individual	TD Ameritrade		
1838	Individual	Fidelity		
1839	Individual	TD Ameritrade		
1840	Individual	Hilltop		
1841	Individual	Charles Schwab		
1842	Individual	Charles Schwab		
1843	Individual	Hilltop		
1844	Individual	ScottTrade		
1845	Individual	Fidelity		
1846	Individual	Wells Fargo		
1847	Individual	Merrill Lynch - Bank of America		
1848	Individual	Fidelity		
1849	Individual	TD Ameritrade		
1850	Individual	Charles Schwab		
1851	Individual	Charles Schwab		
1852	Individual	TD Ameritrade		
1853	Individual	Fidelity		
1854	Individual	Fidelity		
1855	Individual	Apex		
1856	Individual	TD Ameritrade		
1857	Individual	TD Ameritrade		
1858	Individual	TD Ameritrade		
1859	Individual	Fidelity		
1860	Individual	Fidelity		
1861	Individual	TD Ameritrade		
1862	Individual	Fidelity		
1863	Individual	RBC		
1864	Individual	Fidelity		
1865	Individual	Charles Schwab		
1866	Individual	Charles Schwab		
1867	Individual	Charles Schwab		
1868	Individual	Fidelity		
1869	Individual	TD Ameritrade		
1870	Individual	Charles Schwab		
1871	Individual	Fidelity		
1872	Individual	Fidelity		
1873	Individual	Fidelity		
1874	Individual	Janney Montgomery		
1875	Individual	LPL Financial		
1876	Individual	TD Ameritrade		
1877	Individual	TD Ameritrade		
1878	Individual	TD Ameritrade		
1879	Individual	BNY Mellon-Pershing		
1880	Individual	BNY Mellon-Pershing		
1881	Individual	TD Ameritrade		
1882	Individual	Charles Schwab		
1883	Individual	Fidelity		
1884	Individual	Fidelity		
1885	Individual	TD Ameritrade		
1886	Individual	TD Ameritrade		
1887	Individual	TD Ameritrade		
1888	Individual	TD Ameritrade		
1889	Individual	BNY Mellon-Pershing		
1890	Individual	ScottTrade		
1891	Individual	ScottTrade		
1892	Individual	Koonce		
1893	Individual	ScottTrade		
1894	Individual	TD Ameritrade		
1895	Individual	ScottTrade		
1896	Individual	TD Ameritrade		
1897	Individual	Merrill Lynch - Bank of America		
1898	Individual	Wells Fargo		
1899	Individual	Vanguard		
1900	Individual	TD Ameritrade		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
1901	Individual	TD Ameritrade		
1902	Individual	Charles Schwab		
1903	Individual	TD Ameritrade		
1904	Individual	RBC		
1905	Individual	Charles Schwab		
1906	Individual	TD Ameritrade		
1907	Individual	Wedbush		
1908	Individual	TD Ameritrade		
1909	Individual	Citex		
1910	Individual	ScottTrade		
1911	Individual	ScottTrade		
1912	Individual	TD Ameritrade		
1913	Individual	TD Ameritrade		
1914	Individual	Vanguard		
1915	Individual	Charles Schwab		
1916	Individual	Wells Fargo		
1917	Individual	Wells Fargo		
1918	Individual	Wells Fargo		
1919	Individual	Wells Fargo		
1920	Individual	BB&T Securities		
1921	Individual	BB&T Securities		
1922	Individual	Charles Schwab		
1923	Individual	Wedbush		
1924	Individual	Fidelity		
1925	Individual	Fidelity		
1926	Individual	ScottTrade		
1927	Individual	Fidelity		
1928	Individual	TD Ameritrade		
1929	Individual	OptionsXpress		
1930	Individual	Charles Schwab		
1931	Individual	ScottTrade		
1932	Individual	ScottTrade		
1933	Individual	TD Ameritrade		
1934	Individual	TD Ameritrade		
1935	Individual	Fidelity		
1936	Individual	Wells Fargo		
1937	Individual	Wells Fargo		
1938	Individual	ScottTrade		
1939	Individual	E-Trade		
1940	Individual	ScottTrade		
1941	Individual	ScottTrade		
1942	Individual	ScottTrade		
1943	Individual	ScottTrade		
1944	Individual	Vanguard		
1945	Individual	Fidelity		
1946	Individual	Fidelity		
1947	Individual	Edward Jones		
1948	Individual	Wells Fargo		
1949	Individual	ScottTrade		
1950	Individual	Fidelity		
1951	Individual	Fidelity		
1952	Individual	TD Ameritrade		
1953	Individual	TD Ameritrade		
1954	Individual	Hilltop		
1955	Individual	ScottTrade		
1956	Individual	ScottTrade		
1957	Individual	Fidelity		
1958	Individual	TD Ameritrade		
1959	Individual	Fidelity		
1960	Individual	TD Ameritrade		
1961	Individual	TD Ameritrade		
1962	Individual	TD Ameritrade		
1963	Individual	TD Ameritrade		
1964	Individual	ScottTrade		
1965	Individual	ScottTrade		
1966	Individual	Apex		
1967	Individual	Keybank		
1968	Individual	Keybank		
1969	Individual	Fidelity		
1970	Individual	OptionsXpress		
1971	Individual	TD Ameritrade		
1972	Individual	TD Ameritrade		
1973	Individual	Charles Schwab		
1974	Individual	ScottTrade		
1975	Individual	Charles Schwab		
1976	Individual	ScottTrade		
1977	Individual	TD Ameritrade		
1978	Individual	TD Ameritrade		
1979	Individual	Fidelity		
1980	Individual	Fidelity		
1981	Individual	Morgan Stanley		
1982	Individual	Charles Schwab		
1983	Individual	Merrill Lynch - Bank of America		
1984	Individual	LPL Financial		
1985	Individual	Fidelity		
1986	Individual	ScottTrade		
1987	Individual	ScottTrade		
1988	Individual	ScottTrade		
1989	Individual	ScottTrade		
1990	Individual	ScottTrade		
1991	Individual	BNY Mellon-Pershing		
1992	Individual	TD Ameritrade		
1993	Individual	Wells Fargo		
1994	Individual	Charles Schwab		
1995	Individual	Fidelity		

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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
1996	Individual	Stifel		
1997	Individual	Vanguard		
1998	Individual	Ameriprise		
1999	Individual	TD Ameritrade		
2000	Individual	Intl FC Stone		
2001	Individual	Intl FC Stone		
2002	Individual	Intl FC Stone		
2003	Individual	Charles Schwab		
2004	Individual	TD Ameritrade		
2005	Individual	TD Ameritrade		
2006	Individual	Fidelity		
2007	Individual	Interactive Brokers		
2008	Individual	TD Ameritrade		
2009	Individual	Fidelity		
2010	Individual	Wedbush		
2011	Individual	Charles Schwab		
2012	Individual	Stifel		
2013	Individual	Merrill Lynch - Bank of America		
2014	Individual	Merrill Lynch - Bank of America		
2015	Individual	TD Ameritrade		
2016	Individual	Wells Fargo		
2017	Individual	TD Ameritrade		
2018	Individual	Stifel		
2019	Individual	Stifel		
2020	Individual	Stifel		
2021	Individual	Stifel		
2022	Individual	Stifel		
2023	Individual	ScottTrade		
2024	Individual	ScottTrade		
2025	Individual	TD Ameritrade		
2026	Individual	Janney Montgomery		
2027	Individual	Interactive Brokers		
2028	Individual	Charles Schwab		
2029	Individual	TD Ameritrade		
2030	Individual	ScottTrade		
2031	Individual	ScottTrade		
2032	Individual	TD Ameritrade		
2033	Individual	Charles Schwab		
2034	Individual	Fidelity		
2035	Individual	RBC		
2036	Individual	Charles Schwab		
2037	Individual	TD Ameritrade		
2038	Individual	Fidelity		
2039	Individual	Charles Schwab		
2040	Individual	Fidelity		
2041	Individual	ScottTrade		
2042	Individual	Wells Fargo		
2043	Individual	ScottTrade		
2044	Individual	BNY Mellon-Pershing		
2045	Individual	Raymond James		
2046	Individual	Fidelity		
2047	Individual	ScottTrade		
2048	Individual	Vanguard		
2049	Individual	Fidelity		
2050	Individual	Hilltop		
2051	Individual	Wedbush		
2052	Individual	Wells Fargo		
2053	Individual	Wells Fargo		
2054	Individual	Kowace		
2055	Individual	Wells Fargo		
2056	Individual	Wells Fargo		
2057	Individual	ScottTrade		
2058	Individual	TD Ameritrade		
2059	Individual	Wells Fargo		
2060	Individual	Fidelity		
2061	Individual	Fidelity		
2062	Individual	OptionsXpress		
2063	Individual	Fidelity		
2064	Individual	ScottTrade		
2065	Individual	Mesirow		
2066	Individual	Mesirow		
2067	Individual	Fidelity		
2068	Individual	Fidelity		
2069	Individual	Fidelity		
2070	Individual	TD Ameritrade		
2071	Individual	RBC		
2072	Individual	Hilltop		
2073	Individual	Fidelity		
2074	Individual	Stifel		
2075	Individual	ScottTrade		
2076	Individual	ScottTrade		
2077	Individual	Charles Schwab		
2078	Individual	ScottTrade		
2079	Individual	TD Ameritrade		
2080	Individual	TD Ameritrade		
2081	Individual	SEI		
2082	Individual	BNY Mellon-Pershing		
2083	Individual	Fidelity		
2084	Individual	TD Ameritrade		
2085	Individual	TD Ameritrade		
2086	Individual	TD Ameritrade		
2087	Individual	Fidelity		
2088	Individual	Stifel		
2089	Individual	LPL Financial		
2090	Individual	Fidelity		

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Shareholder List

Count	Classification	Intermediary	Shareholder	Gross Dividend
2091	Individual	BNY Mellon-Pershing		
2092	Individual	TD Ameritrade		
2093	Individual	Fidelity		
2094	Individual	Wells Fargo		
2095	Individual	TD Ameritrade		
2096	Individual	Fidelity		
2097	Individual	TD Ameritrade		
2098	Individual	ScottTrade		
2099	Individual	ScottTrade		
2100	Individual	Morgan Stanley		
2101	Individual	BNY Mellon-Pershing		
2102	Individual	Edward Jones		
2103	Individual	Charles Schwab		
2104	Individual	Merrill Lynch - Bank of America		
2105	Individual	Charles Schwab		
2106	Individual	Edward Jones		
2107	Individual	Fidelity		
2108	Individual	Stifel		
2109	Individual	Fidelity		
2110	Individual	ScottTrade		
2111	Individual	Merrill Lynch - Bank of America		
2112	Individual	Merrill Lynch - Bank of America		
2113	Individual	Fidelity		
2114	Individual	ScottTrade		
2115	Individual	Fidelity		
2116	Individual	ScottTrade		
2117	Individual	Wells Fargo		
2118	Individual	Westbush		
2119	Individual	Charles Schwab		
2120	Individual	Fidelity		
2121	Individual	TD Ameritrade		
2122	Individual	Vanguard		
2123	Individual	Hilltop		
2124	Individual	Wells Fargo		
2125	Individual	Fidelity		
2126	Individual	ScottTrade		
2127	Individual	ScottTrade		
2128	Individual	Merrill Lynch - Bank of America		
2129	Individual	Charles Schwab		
2130	Individual	ScottTrade		
2131	Individual	ScottTrade		
2132	Individual	Fidelity		
2133	Individual	TD Ameritrade		
2134	Individual	TD Ameritrade		
2135	Individual	Westbush		
2136	Individual	Westbush		
2137	Individual	ScottTrade		
2138	Individual	TD Ameritrade		
2139	Individual	Fidelity		
2140	Individual	Fidelity		
2141	Individual	TD Ameritrade		
2142	Individual	Charles Schwab		
2143	Individual	ScottTrade		
2144	Individual	ScottTrade		
2145	Individual	TD Ameritrade		
2146	Individual	Edward Jones		
2147	Individual	ScottTrade		
2148	Individual	TD Ameritrade		
2149	Individual	TD Ameritrade		
2150	Individual	Fidelity		
2151	Individual	Vanguard		
2152	Individual	Koonce		
2153	Individual	Fidelity		
2154	Individual	Apex		
2155	Individual	BNY Mellon-Pershing		
2156	Individual	Merrill Lynch - Bank of America		
2157	Individual	TD Ameritrade		
2158	Individual	Charles Schwab		
2159	Individual	Fidelity		
2160	Individual	Fidelity		
2161	Individual	TD Ameritrade		
2162	Individual	Charles Schwab		
2163	Individual	Fidelity		
2164	Individual	TD Ameritrade		
2165	Individual	Charles Schwab		
2166	Individual	TD Ameritrade		
2167	Individual	Charles Schwab		
2168	Individual	Fidelity		
2169	Individual	Wells Fargo		
2170	Individual	Charles Schwab		
2171	Individual	Fidelity		
2172	Individual	ScottTrade		
2173	Individual	Fidelity		
2174	Individual	Fidelity		
2175	Individual	Fidelity		
2176	Individual	Fidelity		
2177	Individual	Fidelity		
2178	Individual	Fidelity		
2179	Individual	Fidelity		
2180	Individual	Fidelity		
2181	Individual	ScottTrade		
2182	Individual	ScottTrade		
2183	Individual	ScottTrade		
2184	Individual	TD Ameritrade		
2185	Individual	TD Ameritrade		

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Count	Classification	Intermediary	Shareholder	Gross Dividend
2186	Individual	ScottTrade		
2187	Individual	TD Ameritrade		
2188	Individual	TD Ameritrade		
2189	Individual	ScottTrade		
2190	Individual	ScottTrade		
2191	Individual	Raymond James		
2192	Individual	TD Ameritrade		
2193	Individual	Wells Fargo		
2194	Individual	ScottTrade		
2195	Individual	TD Ameritrade		
2196	Individual	Charles Schwab		



# EXHIBIT B-4

**Life Partners - Jacobs v. Alexander**  
***Shareholder Count by Subclass***

Subclass	Shareholder Count	[1]
Subclass 1: January 1, 2008 - January 19, 2011	1,464	
Subclass 2: January 20, 2011 - January 19, 2013	1,612	
Subclass 3: January 20, 2013 - January 20, 2015	1,011	
	<b>4,087</b>	[2]

Notes:

[1] "Shareholder Count" includes shareholders classified as "Individual", "Entity or Company", "Account Number", "Abbreviated Name", and "Clearing Account".

[2] Veritas has identified 2,196 unique shareholders above the \$1,375 disbursement threshold. Total shareholder count is shown as 4,087 because some shareholders receive dividends in multiple subclasses.

# EXHIBIT B-5

Life Partners - Jacobs v. Alexander  
Shareholders Receiving Largest Dividends by Subclass

Subclass	Dividend Recipient	Intermediary Source	Identifier	Gross Dividend Value
<i>Subclass 1: January 1, 2008 - January 19, 2011</i>				
	Account # [REDACTED]	BNY Mellon-Pershing	Account Number	[REDACTED]
	[REDACTED]	State Street	Entity or Company	[REDACTED]
	[REDACTED]	State Street	Entity or Company	[REDACTED]
	Account # [REDACTED]	BNY Mellon-Pershing	Account Number	[REDACTED]
	[REDACTED]	Fidelity	Individual	[REDACTED]
	[REDACTED]	Fidelity	Individual	[REDACTED]
	[REDACTED]	Fidelity	Individual	[REDACTED]
<i>Subclass 2: January 20, 2011 - January 19, 2013</i>				
	Account # [REDACTED]	BNY Mellon-Pershing	Account Number	[REDACTED]
	[REDACTED]	State Street	Entity or Company	[REDACTED]
	[REDACTED]	Merrill Lynch - Bank of America	Individual	[REDACTED]
	Account owner not identified Account # [REDACTED]	Barclays	Account Number	[REDACTED]
	[REDACTED]	Interactive Brokers	Individual	[REDACTED]
	[REDACTED]	BNY Mellon-Pershing	Individual	[REDACTED]
	Account owner not identified Account # [REDACTED]	Barclays	Account Number	[REDACTED]
<i>Subclass 3: January 20, 2013 - January 20, 2015</i>				
	[REDACTED]	TD Ameritrade	Individual	[REDACTED]
	[REDACTED]	State Street	Entity or Company	[REDACTED]
	[REDACTED]	Fidelity	Individual	[REDACTED]
	[REDACTED]	TD Ameritrade	Individual	[REDACTED]
	[REDACTED]	Morgan Stanley	Individual	[REDACTED]
	[REDACTED]	Fidelity	Individual	[REDACTED]
	[REDACTED]	Merrill Lynch - Bank of America	Individual	[REDACTED]